‘Not another safety culture survey’: using the Canadian patient safety climate survey (Can-PSCS) to measure provider perceptions of PSC across health settings

Liane R Ginsburg, Deborah Tregunno, Peter G Norton, Jonathan I Mitchell, Heather Howley

ABSTRACT

Background The importance of a strong safety culture for enhancing patient safety has been stated for over a decade in healthcare. However, this complex construct continues to face definitional and measurement challenges. Continuing improvements in the measurement of this construct are necessary for enhancing the utility of patient safety climate surveys (PSCS) in research and in practice. This study examines the revised Canadian PSCS (Can-PSCS) for use across a range of care settings.

Methods Confirmatory factor analytical approaches are used to extensively test the Can-PSCS. Initial and cross-validation samples include 13 126 and 6324 direct care providers from 119 and 35 health settings across Canada, respectively.

Results Results support a parsimonious model of direct care provider perceptions of patient safety climate (PSC) with 19 items in six dimensions: (1) organisational leadership support for safety; (2) incident follow-up; (3) supervisory leadership for safety; (4) unit learning culture; (5) enabling open communication I: judgement-free environment; (6) enabling open communication II: job repercussions of error. Results also support the validity of the Can-PSCS across a range of care settings.

Conclusions The Can-PSCS has several advantages: (1) it is a theory-based instrument with a small number of actionable dimensions central to the construct of PSC; (2) it has robust psychometric properties; (3) it is validated for use across a range of care settings, therefore suitable for use in regionalised health delivery systems and can help to raise expectations about acceptable levels of PSC across the system; (4) it has been tested in a publicly funded universal health insurance system and may be suitable for similar international systems.

BACKGROUND

The importance of a strong safety culture for enhancing patient safety has been stated for over a decade in healthcare. Increasing empirical evidence supports the relationship between staff perceptions of safety culture and safety behaviours and outcomes in healthcare and in other industries. In addition, recent work has found strong positive relationships between staff perceptions of safety culture and family member satisfaction and patient satisfaction. In organisations with health professional trainees, safety culture has a vital role, albeit not always positive, in imparting the importance of patient safety (PS) for effective practice. The importance of culture is further reflected in the crucial role it has played in the Keystone work to reduce central line-associated bloodstream infections in the intensive care unit (ICU) and in the successful use of surgical safety checklists—two of the most effective, evidence-based patient safety improvement interventions to date.

Given the importance of patient safety culture and ongoing challenges in our ability to measure safety improvements, the need for robust measures is clear. Safety climate is a perceptual measure that can serve as a window through which culture can be viewed (see the ‘Construct’ section below for a more detailed discussion of culture and
Several patient safety climate (PSC) instruments have been developed and are widely used. Researchers have advanced construct measurement of PSC through the use of relational approaches that focus on convergent and discriminant validity and through the use of exploratory and confirmatory factor analytical approaches designed to identify unique PSC dimensions. However, despite these advances in PSC measurement, challenges persist. It was recently pointed out that PSC measures have become increasingly broad, and strong evidence of psychometric rigour remains limited for perceptual measures of PSC (see box 1).

Persistent PSC measurement challenges mean that continued efforts are required to strengthen existing models and measures. The revised Canadian Patient Safety Climate Survey (Can-PSCS) provides a parsimonious measure of direct care providers’ perceptions of PSC and has been adopted for use by Accreditation Canada. This paper reports on the psychometric properties of the Can-PSCS, including its suitability for use across different care settings.

Do we really need another PSC survey?
The Can-PSCS can help to deal with three challenges—survey length, national validation and psychometric rigour (see box 1)—and offers the following benefits: (1) most importantly, in contrast to recent work, to create or modify PSC surveys for use in specific sectors, the Can-PSCS is designed and tested for use across a variety of care settings—an instrument that can be used across settings is particularly well suited to regionalised health delivery systems and can help to raise expectations about acceptable levels of climate. Several patient safety climate (PSC) instruments have been developed and are widely used. Researchers have advanced construct measurement of PSC through the use of relational approaches that focus on convergent and discriminant validity and through the use of exploratory and confirmatory factor analytical approaches designed to identify unique PSC dimensions. However, despite these advances in PSC measurement, challenges persist. It was recently pointed out that PSC measures have become increasingly broad, and strong evidence of psychometric rigour remains limited for perceptual measures of PSC.

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The theoretical model for the Can-PSCS is in keeping with the above principles and is rooted in Zohar’s and Hofmann and Mark’s work on safety climate. The Can-PSCS dimensions of Organisational leadership for safety and Supervisory leadership support for safety are supported by Zohar’s definition of safety climate as management commitment to, and prioritisation of, safety by leadership at multiple levels. The Can-PSCS dimensions of Patient safety learning culture and Communicating/talking about errors are consistent with Hofmann and Mark’s model of safety climate, which draws on the error literature and places emphasis on ‘constructively responding to errors, openly communicating about these errors and the extent to which the social context encourages or discourages these behaviours’ (2006: 849).

Finally, the terms culture and climate are often used interchangeably. Organisational leaders tend to be unconcerned with distinctions between the two constructs and culture seems to be their preferred term, but the Can-PSCS is technically a measure of climate and is described as such from here.

METHODS
In this study we used survey data collected from staff in a large, cross-sectional sample of Canadian healthcare organisations to examine the factor structure of the Can-PSCS and determine scale internal consistency. Survey data were collected in 2011 by Accreditation Canada as part of the Qmentum accreditation programme.

Sampling and procedures
Accreditation Canada provided the lead author with all anonymised Can-PSCS data collected between April and October 2011 as part of the Qmentum accreditation process (‘the initial sample’). From the initial sample we used data from 13,126/16,410 (80%) responders in 119 organisations whose job category was self-reported as ‘direct care to clients’. Accreditation Canada provided a second anonymised dataset for cross-validation (‘the cross-validation sample’) that included survey responses from 6,324 direct care providers in 35 additional organisations that deployed the Can-PSCS in November and December 2011. Focusing on data from direct care providers remains true to the construct definition of ‘employee perception of PSC’.

These organisations represent the continuum of care and the 13,126 direct care providers came from hospitals (28%), nursing homes (32%), ambulatory and community-based health organisations (14%), homecare agencies (5%), mental health (7%) and other settings. Most hospitals and a large proportion of other healthcare organisations in Canada participate in the Accreditation Canada process which operates on a 4-year cycle. During each cycle organisations distribute the PSC survey for completion. In an effort to ensure data are representative, a minimum number of responses are set by Accreditation Canada based on the number of staff in each organisation. However, because distribution of the questionnaire ultimately resides with each organisation, Accreditation Canada does not have access to the data necessary to accurately calculate survey response rates for each organisation. Details on the Accreditation Canada Qmentum accreditation programme and processes for survey data collection are provided in the online supplementary technical appendix.

Because the data we report in this paper were provided in anonymised form to the lead author for secondary analyses, the results were exempt from review by the Office of Research Ethics at York University where the lead author is employed.

Survey development
The Can-PSCS was designed to capture staff perceptions of patient safety culture. Earlier versions of the instrument (described previously) were adapted from work by Singer and colleagues, Hofmann and the Agency for Healthcare Research and Quality (AHRQ), and included selected items and dimensions suited to the Canadian context. In 2010 the instrument underwent a major revision to improve its factor structure and yield a more parsimonious, theory-based measurement model of PSC. This revision was undertaken with insights described above regarding the conceptualisation and measurement of PSC in mind. The 2010 revision focused on identifying a series of items to comprehensively measure the area of Communicating and talking about errors. As early as 1980, Zohar identified ‘communication’ as vital to safety climate. More recently others drew attention to the importance of openly communicating about errors and the extent to which the social context encourages or discourages this. Details of the revision are provided in the online supplementary appendix under ‘Survey revision process’.

The 2010 Can-PSCS contained 38 items designed to reflect (1) management commitment to safety at the organisational level (Organisational leadership for safety—seven items adapted from the Patient Safety Climate in Healthcare Organizations (PSCHO); (2) immediate supervisory-level commitment to safety (Supervisory leadership support for safety—five items adapted from the AHRQ PSC survey); (3)
constructive response to errors (Patient safety learning culture)—six items adapted from Hofmann5) and (4) open communication/talking about errors (20 items that emerged from the survey revision process outlined in the online supplementary appendix). These four areas are consistent with robust models of safety climate that have been shown to predict safety outcomes.4 43 All items are answered using a five-point agree–disagree Likert-type scale and include a ‘not applicable’ option.

Analysis
The validation work described here involved all 38 items on the 2010 survey. Although the domains of PSC in the 2010 survey were theoretically derived, the construct of patient safety culture has been described as having the ‘definitional precision of a cloud’.49 Given this definitional imprecision and the large-scale nature of the changes to the 2010 survey, we carried out exploratory factor analysis (EFA) followed by confirmatory factor analysis (CFA) using two separate random samples of 3000 cases drawn from our large initial sample. CFA is a measurement model which depicts the links between latent variables (in this case the PSC dimensions) and their observed measures—the items used to measure each of these dimensions.50

We used AMOS V7 (SPSS, Inc, Chicago, Illinois, USA) and performed a series of six CFAs. The model that emerged from the EFA was tested in CFA-1 and did not demonstrate good fit. Modified models with fewer items were tested in CFA-2 and CFA-4. With such retrofitting of a model to the data (eg, removing items that are not well accounted for by the model), standard psychometric practices for establishing construct validity require use of a separate (cross-validation) sample51—this was done in CFA-6 using the validation sample provided by Accreditation Canada. CFA-3 and CFA-5 used multiple group CFA techniques50 to test the validity of CFA-2 and CFA-4, respectively, for measurement invariance across five different care settings: acute care medicine, long-term care, homecare, community care and ambulatory care.

The comparative fit index (CFI) and the root mean square error of approximation (RMSEA) were used to evaluate model fit in all CFA models. Models with CFI values >0.95 and RMSEA values <0.06 are indicative of good model fit.36 These criteria have been used previously in medical education research.52

Given controversy surrounding their use, χ² values are provided and discussed only in the paper’s online supplementary appendix along with other fit indices (goodness-of-fit index, adjusted goodness of fit and χ² to df ratio). Slightly different metrics are required to evaluate multiple group CFA.50 Accordingly, CFA-3 and CFA-5 model fit would be supported by non-significant χ² difference values and by changes in CFI<0.01. Finally, internal consistency reliability of the final dimensions of PSC was examined using Cronbach’s α coefficients for all care settings combined and for the five separate care settings noted above.

RESULTS
Respondents
Because complete data are required for CFA, listwise deletion of incomplete data was used. Seventy-six per cent of direct care providers in the initial sample (9978/13 126) provided complete data. From these 9978 cases we randomly selected two samples of 3000 cases for the EFA and CFA-1, respectively. Using the initial sample, the multiple group CFA (CFA-3 and CFA-5) included all 788, 544, 147 and 536 cases from acute care medicine, homecare, community care and ambulatory care, respectively. Additionally, a random sample of 568 cases from long-term care was used as a much larger proportion of respondents were from this sector than from the other sectors examined. In the cross-validation sample (CFA-6) listwise deletion of incomplete data yielded 5296 usable cases for analysis.

Factor structure and reliability of the Can-PSCS
A six-factor model with the following properties emerged as the strongest model in the EFA: (1) the Organisational leadership for safety, Supervisory leadership support for safety and Patient safety learning culture dimensions retained from previous versions of the survey were largely supported; (2) the 20 items added to the 2010 survey to reflect Communication and talking about errors factored into unique dimensions with one exception—four items that ask about managerial feedback and follow-up about errors loaded on the Organisational leadership for safety dimension but had low loadings; (3) the Supervisory leadership support for safety items loaded on two factors—one with the negatively phrased items and one with the positively phrased items; (4) five items had very low loadings or cross-loadings and were excluded from subsequent models. It is important to note that theoretical consideration was given to these five items and all other items removed at later stages of the factor analysis process. All removed items were either redundant, were further from the centre of the latent construct or had been flagged previously by Accreditation Canada as being inconsistently interpreted by survey respondents. Results are shown in online supplementary table S1, EFA column.

Based on the EFA results we tested a seven-factor model in CFA-1 that included 33 items. This model included the six dimensions from the EFA with the four items about managerial feedback and follow-up on errors as a separate (seventh) dimension. While there was some uncertainty about proposing and testing two factors of Supervisory leadership support for safety suggested by the EFA (one with negatively
phrased items and one with positively phrased items), it was felt that both groups of items needed to be retained at this stage (implications of using negatively phrased items in surveys are included in the ‘Discussion’). The CFA-1 model did not fit the data well (χ²=4095.45, df=474, p=0.000, CFI=0.926, RMSEA=0.050). Ten items that were not well accounted for by the model were excluded from CFA-2 and are shown with an asterisk in the CFA-2 column of online supplementary table S1.

The retrofitted seven-factor, 23-item model produced good model fit in CFA-2 (χ²=1134.97, df=209, p=0.000, CFI=0.971, RMSEA=0.038). However, CFA-3, which used multiple group CFA techniques, did not support invariance across the five care settings of interest in our sample (acute care medicine, long-term care, homecare, community care and ambulatory care) (baseline model CFI=0.944, RMSEA=0.021).

After CFA-3 a decision was made to remove the negatively phrased supervisory leadership items—two of the items were not well accounted for by the CFA-3 model and the scale α for these three negatively phrased items was <0.70. The six-factor 19-item model examined in CFA-4 produced good model fit (χ²=641.63, df=137, p=0.000, CFI=0.981, RMSEA=0.035), and CFA-5 results largely support model invariance across these five settings (ΔCFI=0.001, Δχ² p=0.01).

Finally, results of CFA-6 support good model fit for the cross-validation sample (χ²=906.07, df=137, p=0.000, CFI=0.983, RMSEA=0.033). Summary results of all six confirmatory models are provided in table 1. The final path diagram is shown in figure 1. Item reductions that were made at each stage of the analyses described above are summarised in online supplementary table S1. The items shown in red text in online supplementary table S1 are the 19 items that were retained and are recommended for the final Can-PSCS measurement instrument. In addition, we recommend retaining two stand-alone items: ‘My organisation effectively balances the need for patient safety and the need for productivity’ as it reflects the essence of PSC and, given the potential for well-designed and easy to use reporting systems to foster safety culture, we also suggest retaining the item, ‘Individuals involved in patient safety incidents have a quick and easy way to report what happened’. More detailed results of CFA-1 through CFA-6 are provided in the online supplementary appendix.

The internal consistency reliability of the Organisational leadership for safety, Supervisory leadership support for safety and Learning culture dimensions exceeded 0.80 for all care settings combined and approached or exceeded 0.80 for the five separate care settings individually (acute care medicine, long-term care, homecare, community care and ambulatory care). For the other three dimensions internal consistency reliability exceeded 0.70 for all care settings combined, and approached or exceeded that level for each of the five care settings individually.

**DISCUSSION**

The results of the validation work presented here support the six-factor, 19-item model tested in CFA-4, found invariant to care setting in CFA-5 and cross-validated in CFA-6. The results in online supplementary table S1 make clear that the 19 items in the final Can-PSCS tap six relatively distinct and theoretically important 5 4 dimensions of PSC: (1) Organisational (senior) leadership support for safety has four items and reflects perceptions of senior-level leadership commitment to patient safety; (2) Incident follow-up provides an expression of management commitment to safety and has three items about staff perceptions of whether there is feedback and change when incidents are reported; (3) Supervisory leadership for safety has two items and reflects perceptions of front-line-level leadership commitment to patient safety; (4) Unit learning culture has four items that reflect staff perceptions of learning from serious errors (ie, analysis of failures and plans to prevent reoccurrence). Dimensions (5) Enabling open communication I: judgment-free environment and (6) Enabling open communication II: job repercussions of error, each have three items and reflect perceived repercussions of error which can limit open communication. Our results make contributions to research and to practice. Each are described in turn.

**Contributions to research**

First, most CFA work on PSC instruments has yielded ‘acceptable’ model fit (eg, CFI exceeds 0.90) as defined by Bentler. While our CFA results are indicative of ‘good’ fit (CFI>0.95), less common indices of fit suggest our measurement model could be even more robust (see online supplementary...
appendix CFA results). For instance, results of discriminant validity analysis (see online supplementary appendix) suggest the incident follow-up dimension shares a fair amount of variance with both the organisation-level and supervisory-level leadership dimensions, suggesting that these practices are perceived to be tied to leadership at both levels in an organisation. Our scale $\alpha$ values which are largely in the 0.70–0.80 range are consistent with historical $\alpha$ values for perception scales, and meet recommendations for preliminary and basic research. However, values of $\alpha > 0.90$ are recommended for applied research. So while the psychometrics we report are among the strongest for measures of PSC in healthcare, ongoing improvements to measurement of this construct continue to be required.

Second, by adhering to the PSC construct definition, we have endeavoured to contribute to much needed research on the dimensions or ‘profile’ of safety culture. In the past, definitions of the constructs of culture and climate, as well as more focused constructs of PSC, have been ambiguous and, perhaps as a result, a wide range of dimensions have been included in instruments that measure safety culture/climate. Data reported in this paper that have led to stronger psychometrics for the Can-PSCS than in previous versions of the instrument (as well as improvements others have made between early and more recent versions of the PSCHO) are the result of removing survey items that reflect general perceptions of safety in favour of retaining items that, true to the concept of safety climate, reflect employee perceptions of safety behaviours that are valued and rewarded at multiple levels in an organisation.

Third, our results draw attention to potential methods effects in scaling when both positive and negatively phrased items are present. Negatively worded items are intended to act as ‘cognitive speed bumps’ that help people respond to questions carefully. However, sometimes constructs conceptualised as unidimensional may appear as multidimensional with positively and negatively worded items forming...
two separate factors. The initial bank of positive and negatively phrased items we used to measure supervisory leadership for patient safety seemed to show these kinds of systematic methods effects. Researchers who continue to work on the psychometrics of PSC measurement should be aware of these potential methods effects in scaling.

**Contributions to practice**

First, the Can-PSCS and the approach we used to evaluate its properties reflect progress on a number of broader patient safety fronts: (1a) the Can-PSCS can improve our ability to measure progress in safety improvement—an area where progress remains limited; (1b) it meets the need for greater use of theory to improve the science of patient safety; and (1c) it makes progress on the relative inattention to safety in non-institutional settings.

Second, from a practice standpoint, additional contributions include the fact that the Can-PSCS: (2a) has been tested in a publicly funded universal health insurance system and so may be well suited to European and other international jurisdictions with similar healthcare systems; (2b) reflects a few actionable dimensions central to the construct of PSC, comprises only 19 items and can therefore be used quickly and frequently; and (2c) is designed and tested for use across a wide range of care settings and is therefore particularly well suited to regionalised healthcare delivery systems. Uniquely, the Can-PSCS is being used across settings by a national accreditation body and this presents opportunities to examine whether PSC is stronger in certain sectors or, as suggested by one reviewer, it may offer the potential for healthy competition or at least the setting of expectations about adequate levels of safety climate across settings.

Third, from an organisational standpoint, the Can-PSCS can be used: (3a) to assess the dimensions in which staff feel management commitment to patient safety is strong and weak; (3b) before patient safety improvement interventions in order to assess the context for change (eg, initiatives focusing on learning from errors can be more effectively designed when staff perceptions of ‘enabling open communication’ and ‘unit learning’ are understood); and (3c) during improvement initiatives to monitor intended as well as any unintended consequences. In each of these instances, achieving high response rates will greatly improve the value of the data collected. Other instruments that are designed to measure PSC, such as the Safety Attitudes Questionnaire and AHRQ tools, can also be used. In addition, these other tools can meet more specific needs—those who are seeking unit-focused measures of teamwork can use the Safety Attitudes Questionnaire and those seeking data on a broad range of safety and climate dimensions will find the AHRQ PSC survey very useful. Given stricter requirements for accountability, and the reality that public reporting can detract from improvement by shifting attention and resources elsewhere, we suggest that the Can-PSCS is more appropriately used for improvement and research than for public reporting.

**Limitations and future research**

This study has several limitations. First, the data were collected as part of the accreditation process and although this allowed for analysis of a large amount of data from direct care providers working across the continuum of care, detailed information on response rates and detailed respondent demographics were not captured during the data collection process. It is, however, unlikely that non-responders’ conceptualisation of the dimensions of PSC would be structurally different. Second, there are questions about generalisability. It is possible that providers from other national cultures may find different meaning in the six PSC dimensions validated in this Canadian sample. Further research and cross-validation of the Can-PSCS will be required with international samples of direct care providers working in different care settings (one recent paper highlights the importance of international cross-validation work). Relatedly, given that different groups of direct care providers such as physicians and nurses have differing perceptions of PSC, the field would benefit from additional research examining whether the Can-PSCS dimensions change with staff role. Third, Canadian healthcare settings are culturally diverse with a large proportion of providers with English as a second language. Additional validation work is required that explores validity in this context. Fourth, our study focuses on quantitative approaches to assessing patient safety culture. Organisations and work units wishing to understand their own patient safety culture are advised to use both quantitative and qualitative approaches to obtain the breadth and depth of understanding afforded by these two methods, respectively. Finally, our data came from a relatively small number of care providers dispersed across a large number of care units and organisations and therefore were not suitable for multilevel CFA.

Studies examining PSC at different levels (organisation, unit, profession) continue to advance the field of PSC measurement; however, additional research is still needed. Such research would benefit from related debate in the organisational literature about the assessment of climate/culture strength. Culture strength involves both high levels of agreement among employees about what is valued and high levels of intensity about these values. In 2009 we pointed out that consideration should be given to both the consensus approach (where consensus among individuals in their perceptions of climate is required before staff perceptions can be meaningfully aggregated to represent unit or organisational climate) and the dispersion approach (which suggests that lack of
agreement among staff on a unit or in an organisation is important in and of itself as it is indicative of a weak climate) (see Schneider et al. and Ostroff et al. for further discussion).

Finally, given that organisations have several coexisting, domain-specific climates (e.g., a safety climate, a justice climate and a work motivation climate), research that looks at multiple climates simultaneously is needed. This may be particularly useful for understanding the antecedents of a strong and positive PSC and the way in which different process climates interact and, in some cases, conflict (e.g., suggestions to penalise staff for hand hygiene failures reflect the tension between ‘no blame’ and accountability and may bolster the safety climate while damaging the organisational justice climate).

CONCLUSIONS
The Can-PSCS measures the perceptions of direct care providers about the kinds of behaviours that are rewarded and supported with regard to the specific strategic focus of patient safety. The survey has 19 items measuring six dimensions. Eight items are new and reflect different aspects of communication and talking about errors. Eleven items build on the work of others and measure organisational leadership for safety and unit learning culture. The Can-PSCS closely reflects the construct definition of PSC. The psychometric properties of the final item set are strong and promising for use with direct care providers in a wide range of care settings.

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Contributors LRG designed data collection tools, methods and study design, analysed the data and drafted the paper. She is guarantor. DT and PGN contributed to overall study design and drafted and revised the paper. JIM and HH monitored data collection and revised the paper.

Competing interests None.

Ethics approval The study was exempt from review by the Human Participants Review Committee in the Office of Research Ethics at York University in Toronto as it exclusively used anonymised secondary data.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Study data may be available from the authors.

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