Patient and public involvement in clinical guidelines: international experiences and future perspectives

Antoine Boivin,1 Kay Currie,2 Béatrice Fervers,3 Javier Gracia,4 Marian James,5 Catherine Marshall,6 Carol Sakala,7 Sylvia Sanger,8 Judi Strid,9 Victoria Thomas,10 Trudy van der Weijden,11 Richard Grol,1 on behalf of G-I-N PUBLIC

ABSTRACT

Background Clinical practice guidelines (CPG) are important tools for improving patient care. Patient and public involvement is recognised as an essential component of CPG development and implementation. The Guideline International Network Patient and Public Involvement Working Group (G-I-N PUBLIC) aims to support the development, implementation and evaluation of guideline-oriented patient and public involvement programmes (PPIPs).

Objective To develop an international practice and research agenda on patient and public involvement in CPG.

Method 56 CPG developers, researchers, and patient/public representatives from 14 different countries, were consulted in an international workshop. Recommendations were validated with G-I-N PUBLIC steering committee members.

Results Many CPG organisations have set up PPIPs that use a range of participation, consultation and communication methods. Current PPIPs aim to improve the quality and responsiveness of CPGs to public expectations and needs, or to foster individual healthcare decisions. Some organisations use structured involvement methods, including providing training for patient and public representatives. A number of financial, organisational and sociopolitical barriers limit patient and public involvement. The paucity of process and impact evaluations limits our current understanding of the conditions under which patient and public involvement is most likely to be effective.

Conclusion Greater international collaboration and research are needed to strengthen existing knowledge, development and evaluation of patient and public involvement in CPG.

INTRODUCTION

Clinical practice guidelines (CPGs) are important tools for improving patient care.1 2 Many national organisations and experts recommend involving patients, consumers and the public in CPG development and implementation.3–6 In recent years, patient and public involvement programmes (PPIPs) have been developed with wide variations between countries. This partly reflects the thin evidence guiding the development of PPIPs7 but also highlights how cultural, organisational context, and stakeholders’ perspectives influence these programmes.8

In 2007, the Guideline International Network9 created its Patient and Public Involvement Working Group (G-I-N PUBLIC, http://www.ginpUBLIC.net). G-I-N PUBLIC aims to support effective patient and public involvement in specific contexts of CPG development and implementation through: the sharing of experiences and evidence, international research collaboration, and the development of standards and methods of involvement. To date, no structured consultation process has been used to establish priorities in the field. This paper presents current international experiences, and a research and practice agenda for the development of effective patient and public involvement in CPG, based on the results of an international workshop organised by G-I-N PUBLIC.

METHODS

Definitions and scope

Different labels are used internationally to describe involvement methods and its participants (consumers, users, citizens, patients and the public).10 For the purpose of the workshop, PPIP refers to at least one formal method of involving patients and the public in CPG development and/or implementation. Involvement methods may include: communication (information is communicated to patients/the public); consultation (information is collected from patients/the public); or participation (patients/the public exchange information with other stakeholders).11 PPIP can be used at different stages, from the macro-level of CPG development (eg, topic selection, evidence review, recommendation, and development of ancillary products), to the micro-level of implementation to specific target groups, or its use at the micro-level of the clinical consultation.

International consultation and validation

G-I-N PUBLIC members organised a 3 h interactive workshop12 13 using structured and facilitated discussion at the 5th Guidelines International Network conference in Helsinki (Finland), in November 2008. Participants were divided into subgroups to foster active participation and to address specific questions, including (1) expectations and goals of PPIP; (2) participation and consultation in CPG development; (3) the integration of patient decision support technologies; (4) priorities for research and international collaboration. In each subgroup, a facilitator introduced the topic and chaired discussions, while a different person took notes. An oral summary of discussions was fed back to all participants at the end of the workshop, and facilitators produced a written report of discussions.14 We distributed the original data to

1Scientific Institute for Quality of Healthcare, Nijmegen, The Netherlands
2National Health & Medical Research Council, Canberra, Australia
3Centre Léon Bérard, Université de Lyon, Lyon, France
4Unidad de Evaluación de Tecnologías Sanitarias, Madrid, Spain
5Agency for Healthcare Research and Quality, Rockville, MD, USA
6Independent Guideline Advisor, Waipukurau, New Zealand
7Childbirth Connection, New York, NY, USA
8Agency for Quality in Medicine, Maastricht University, Maastricht, The Netherlands
9Maastricht University, Maastricht, The Netherlands
10National Institute for Health and Clinical Excellence, London, UK
11Maastricht University, Maastricht, The Netherlands

Correspondence to
Dr Antoine Boivin, Scientific Institute for Quality of Healthcare, Radboud University Nijmegen Medical Centre, Nijmegen, The Netherlands; antoine.boivin@gmail.com

Accepted 14 November 2009
Published Online First
27 April 2010

G-I-N PUBLIC Steering Committee members and solicited written comments to validate findings, before discussing recommendations with them in two teleconferences.

RESULTS
A total of 56 people from 14 countries participated in the workshop and subsequent discussions (Table 1). Results are reported as per the main themes of discussion presented above.

Expectations and goals of PPIP
Workshop participants agreed on the importance of patient and public involvement in CPG. However, they reported that patient and public involvement goals are often largely implicit or articulated in vague terms by their organisations, which makes it difficult to assess success or failure. Tension also exists between collective and individual perspectives. From a collective perspective, PPIP can be seen as a way to develop recommendations that will improve the quality of healthcare and its responsiveness to population needs and expectations. Patient and public involvement can also be seen as an accountability mechanism that fosters CPG social legitimacy and its ability to be implemented in clinical practice. At the individual level, patient and public involvement can be geared towards the promotion of individuals’ rights and the protection of patients’ autonomy and freedom of choice. For some, the position of patients as consumers and users of healthcare justifies their participation in CPG development. Other PPIP goals discussed by participants were focused on promoting informed choice to patients as consumers and users of healthcare justifying their participation in CPG development. Other PPIP goals discussed by participants were focused on promoting informed choice to ensure that patient/provider interaction was patient-centred and responsive to individual needs, values and priorities. Participants considered that differences in perspectives can have a profound impact on the choice of methods for involving patients and the public, as well as on the criteria used to assess PPIP effectiveness.

Integration of patient decision support technologies
According to workshop participants, many PPIP focus on communication methods to promote more active and informed health decisions by patients and consumers at the micro-level of the clinical consultations. Some organisations (eg, German Agency for Quality in Medicine, New Zealand Guideline Group and NICE) have developed large online repositories and short summaries of patient versions of CPG. Others have attempted to disseminate CPGs through collaboration with patient organisations. Participants agreed that the development of patient-oriented material may assist understanding of CPG recommendations and could foster informed and shared decision-making between patients and clinicians. For example, the Dutch Institute for Healthcare Improvement (CBO) has produced patient decision aids presenting options, individualised risk assessment, and the probabilities of benefits and downsides to support choices regarding ‘preference sensitive decisions’ (such as...
3. To assess whether patient versions of CPG and information material foster informed and shared decision-making and impact on decisions. There is a need to understand how and why PPIP. Participants identified the lack of evaluation of PPIP as a barrier to the wider acceptance and development of guideline documents. PPIP is more likely to require discussion with patients, decision-support tools, or specific preference-eliciting and communication strategies. With the growth of increasingly sophisticated health information technology, guidelines and decision tools can be disseminated in concert (eg, by hypertext links in electronic guideline documents), rather than as stand-alone products.

Research agenda and the role of international collaboration
Participants identified priorities for research and international collaboration (table 2). They indicated the lack of evaluation of PPIP as a barrier to the wider acceptance and development of PPIP. Participants identified diverging roles for evaluation: for some, research should help to assess the added value of PPIP in CPG development and implementation, while others see involvement as having intrinsic value, and evaluation efforts are expected to help develop and identify the most effective involvement methods.

There was a high demand among participants for stronger international collaboration on patient and public involvement within and outside the CPG community. Participants saw the international community as a pool of ideas and experiences, and expressed the need to share both ‘success’ and ‘failure’ stories. The heterogeneous level of expertise and capacity, as well as cultural and health system variations, was seen as both a motivator and a barrier for greater international collaboration.

DISCUSSION
To our knowledge, this paper reports the first international consultation to develop priorities for research and collaboration on patient and public involvement in CPG, based on international experience. The involvement of patients and the public is motivated by attempts to improve CPG quality and implementation, and its responsiveness to population expectations and needs, and to foster individual healthcare choices. Current approaches favour involvement of patient members on guideline development groups, consultation of draft CPG and the development of patient versions of CPG, an observation that is in line with previous surveys of CPG organisations. The range of reported methods to involve patients and the public appears to make little use of alternative methods proposed in the literature, such as systematic reviews of published evidence on patients’ views and preferences, the integration of patient decision aids, the use of decision analysis to integrate patients' utilities in CPG recommendations and their involvement in strategic aspects of CPG development, including CPG evaluation.

Previous reviews have found few empirical studies of PPIP in the context of CPG development or implementation. The evidence supporting when and how PPIP should be developed needs to be strengthened. These efforts should recognise the specific challenges of evaluating patient and public involvement, including: (1) the absence of consensus over what constitutes ‘effective involvement’; (2) the lack of agreed-upon evaluation tools; and (3) the value-laden and context-sensitive nature of PPIP. The strength of this study rests on the broad reach of the consultation process, with direct participation of 56 representatives from 14 countries, including most of the CPG organisations active in the published and grey literature on patient and public involvement.

On the other hand, practical constraints kept us from recording discussions, which would have strengthened data collection and analysis. Using the G-I-N conference workshop participants for convenience sampling also limits the consulted audience to persons likely to be supportive of both CPG and PPIP. Participants from developing regions and patient representatives were under-represented, partly because of financial barriers to attend. Previous studies found that patients can have a different view of their role in CPG, and in our study, patient and public representatives highlighted the need for early and active involvement, as well as the potential that PPIP may improve the quality of guidelines. Other participants voiced concerns that PPIP may introduce controversy, bias, and resistance from professional groups, thus illustrating the delicate balance between the search for legitimacy and credibility among the different ‘publics’ involved in CPG development and use (health professionals, researchers, patients, policymakers, and the wider public).

CONCLUSION
In recent years, CPG organisations have set up PPIPs that use a range of participation, consultation, and communication methods. A number of financial, organisational, and technological factors influence the effectiveness of PPIP. There is a need to study in greater detail the contextual and process factors that influence PPIP effectiveness.

Table 2 Priorities for patient and public involvement in guidelines identified by participants

<table>
<thead>
<tr>
<th>Research priorities</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. To synthesise existing knowledge and experience in order to provide CPG developers with an overview of existing methods for involving patient and the public. Such synthesis should draw from the practical experience accumulated in other areas of healthcare (eg, research, health technology assessment, health policy, performance measurement).</td>
<td></td>
</tr>
<tr>
<td>2. To expand primary research on the pros and cons of different methods of involvement, including its impact on CPG development and implementation, as well as on CPG perceived validity, acceptability and legitimacy for health professionals, patients and the public. There is a need to study in greater detail the contextual and process factors that influence PPIP effectiveness.</td>
<td></td>
</tr>
<tr>
<td>3. To assess whether patient versions of CPG and information material foster informed and shared decision-making and impact on decisions. There is a need to understand how and in which situations patient decision aids and/or evidence on patient preferences and values should be integrated in existing CPG.</td>
<td></td>
</tr>
<tr>
<td>4. To adapt or expand the Appraisal of Guidelines for Research &amp; Evaluation criteria to better evaluate the quality of patient and public involvement in existing CPG.</td>
<td></td>
</tr>
</tbody>
</table>

International collaboration priorities

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. To develop recruitment methods, training and support strategies, information material and tools, and glossaries of technical terms used in CPG.</td>
<td></td>
</tr>
<tr>
<td>2. To develop common international standards and frameworks for PPIP development and evaluation that allow for adaptation to local context.</td>
<td></td>
</tr>
<tr>
<td>3. To support the development and exchange of information on alternative methods of patient and public involvement (eg, systematic reviews of evidence on patients’ views and preferences and the integration of decision support tools).</td>
<td></td>
</tr>
<tr>
<td>4. To contribute to the enhancement of skills and expertise in countries with identified capacity needs.</td>
<td></td>
</tr>
<tr>
<td>5. To foster comparative research and evaluation of PPIP methods and impact on guideline development and implementation.</td>
<td></td>
</tr>
</tbody>
</table>

CPG, Clinical practice guidelines; PPIP, patient and public involvement programme.
Acknowledgements

We would like to thank all workshop participants. Loes Nilsen provided important comments on the paper, G-I-N PUBLIC (http://www.gnpublic.net) is an official working group of the Guidelines International Network (G-I-N), an international not-for-profit association of organizations and individuals involved in the development and use of clinical practice guidelines, recognised under Scottish Charity Number SC034047. This paper reflects the views of the authors, and G-I-N is not liable for use that may be made of the information contained therein.

Funding

AB holds a joint doctoral scholarship from the Canadian Institute of Health Research (AnEIS programme) and the Agence de Sante et des Services Sociaux de l’Abitibi-Témiscamingue.

Competing interests

None.

Contributors

AB, JB, KC, BF, JG, SS, VT and TvW organised the workshop, contributed discussions and, along with RG, contributed to the study design. MJ, JS and CS contributed to the interpretation of results. AB drafted the paper and is its guarantor. All authors commented on the article for important intellectual content and approved the final paper.

Provenance and peer review

Not commissioned; externally peer reviewed.

REFERENCES