An observational study of nurse staffing ratios and hospital readmission among children admitted for common conditions

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ABSTRACT

Background Hospital patient-to-nurse staffing ratios are associated with quality outcomes in adult patient populations but little is known about how these factors affect paediatric care. We examined the relationship between staffing ratios and all-cause readmission (within 14 days, 15–30 days) among children admitted for common medical and surgical conditions.

Methods We conducted an observational cross-sectional study of readmissions of children in 225 hospitals by linking nurse surveys, inpatient discharge data and information from the American Hospital Association Annual Survey. Registered Nurses (N=14 194) providing direct patient care in study hospitals (N=225) and children hospitalised for common conditions (N=90 459) were included.

Results Each one patient increase in a hospital’s average paediatric staffing ratio increased a medical child’s odds of readmission within 15–30 days by a factor of 1.11, or by 11% (95% CI 1.02 to 1.20) and a surgical child’s likelihood of readmission within 15–30 days by a factor of 1.48, or by 48% (95% CI 1.27 to 1.73). Children treated in hospitals with paediatric staffing ratios of 1 : 4 or less were significantly less likely to be readmitted within 15–30 days. There were no significant effects of nurse staffing ratios on readmissions within 14 days.

Discussion Children with common conditions treated in hospitals in which nurses care for fewer patients each are significantly less likely to experience readmission between 15 and 30 days after discharge. Lower patient-to-nurse ratios hold promise for preventing unnecessary hospital readmissions for children through more effective pre-discharge monitoring of patient conditions, improved discharge preparation and enhanced quality improvement success.

INTRODUCTION

Preventing unnecessary hospital readmission is increasingly important to hospitals as they face the prospect of nonpayment,1 2 and readmission as a measure of hospital quality is a central focus of large-scale quality improvement initiatives such as the Centres for Medicare and Medicaid Services’ Community-based Care Transitions programme.3 In paediatrics, current evidence on hospital readmission is generally descriptive with an emphasis on patient-specific factors, including medical complexity and demographics.4–11 Few studies have examined relationships between hospital systems factors and readmission among children, and those that have are focused on standardised clinical pathways for disease-specific conditions,12 fixed characteristics of hospitals such as location and children’s hospital designation13–15 and the performance of state-level paediatric healthcare systems.16 A 2010 study by Lorch and colleagues highlights the challenge of attributing readmission to hospital quality; variation in readmission among preterm infants was explained by factors related to both inpatient and outpatient care, including the quality of the outpatient practice that the infant was discharged to.17 Additionally, the preventability of paediatric hospitalisations may be much lower than in adult patients.18 However, to the extent that the quality of inpatient care has potential to influence hospital readmission among children, we focused our attention on a modifiable characteristic of hospitals that has been linked to quality outcomes in adult patients but is relatively unstudied in paediatrics: patient-to-nurse staffing ratios.
The potential for nurse staffing to influence hospital readmissions may be explained by the role of bedside nurses in supporting appropriate discharge. During the inpatient stay, nurses identify and respond to changes in a patient’s condition; educate patients and families on home management of diseases, devices, wounds and medications; and act as a final buffer during the transition from inpatient to outpatient care. A 2008 study by Weiss and colleagues found that better quality of discharge teaching by nurses was predictive of increased readiness for discharge among parents of hospitalised children, decreased coping difficulty in the weeks following discharge, and ultimately, fewer postdischarge readmissions and emergency department visits. Staffing levels likely impact the amount of time nurses are available to engage in these core processes as well as their effectiveness in doing so. There is a robust research literature documenting an association between more favourable nurse staffing and a range of patient outcomes including mortality, adverse events and satisfaction with care. Additionally, registered nurse (RN) staffing levels have been shown to directly be associated with hospital readmissions in adult patients cared for on medical-surgical units. However, the extent to which nurse staffing levels relate to paediatric readmission was previously unstudied.

The purpose of this study was to examine associations between hospital nurse staffing ratios and readmission among children admitted for common medical and surgical conditions. We studied common conditions for the purposes of focusing on diagnoses and procedures where a readmission is generally considered undesirable and possibly preventable. We departed from traditional studies of 30-day readmissions between hospital nurse staffing ratios and time to analyse readmission in discrete time intervals, with the strongest and most significant effects evident within the first 14 days after hospital discharge.

**METHODS**

**Design**

We conducted an observational, cross-sectional study using 2006 data from three sources: RN survey data from California, Florida, New Jersey and Pennsylvania; state-based inpatient discharge data for hospitals in the corresponding states; and the American Hospital Association Annual Survey.

**Sample and setting**

**Nurses**

Using licensure lists provided by state boards of nursing, we mailed surveys to a 40% random sample of RNs in CA and PA, a 50% random sample of RNs in NJ and a 25% random sample of RNs in FL. Details of the nurse survey design and implementation are published elsewhere. The initial survey response rate was 39%. A subsequent survey of randomly selected non-responders (n=650 in California, n=650 in Pennsylvania) achieved a 91% response rate and revealed no differences on measures of workload between the groups. Respondents reported a variety of employment statuses and settings, though in this study, we report on nurses providing direct patient care within hospitals. We asked nurses to provide the name of their employing hospital, to identify the type of unit they worked within (medical, surgical, intensive care unit, paediatric), and to report the number of patients they cared for on their last shift worked. Identification of hospitals enabled aggregation of nurses’ responses within institutions, which allowed us to create hospital-level measures of nurse-to-patient staffing ratios. Identification of units enabled us to create a paediatric-specific measure of nurse staffing, described in more detail below.

**Patients**

Discharge data from state agencies for children ages 1–17 were used in the calculation of readmission if the primary diagnosis or procedure code represented a common condition and children were treated in hospitals meeting inclusion criteria. Medical conditions, surgical procedures and comorbidities (see online appendix) were identified based on diagnosis-related group (DRG) and International Classification of Diseases and Related Health Problems, Ninth Revision (ICD-9) codes as defined in previous publications.

**Hospitals**

Included hospitals were all non-federal acute-care facilities (excluding psychiatric hospitals) in the four states with at least 50 paediatric discharges per year for any of the conditions of interest and with at least 10 staff nurse respondents. Previous research showed that a minimum of 10 nurse respondents per hospital produced reliable aggregate nursing self-report measures. Most hospitals in the analysis had significantly more than 10 nurses, with the average number of nurses per hospital being 63.
Measures
Patient-to-nurse staffing ratios were calculated based upon nurse reports of the number of patients cared for on their last shift worked. Prior research shows that nurses’ self-reports of the number of patients assigned to their care are reliable and have better predictive validity than other commonly used sources to derive staffing measures such as administrative data.31 Hospital-level averages of nurses’ reports of the total number of patients cared for were calculated (1) for all direct patient care nurses on all units and (2) for direct patient care nurses who reported working on a paediatric unit. Thus, hospitals had two indicators of staffing ratios: one for all nurse staffing and one for paediatric nurse staffing.

We also examined the effects of staffing by utilising a contemporary paediatric staffing benchmark of no more than four patients per nurse based upon the state of California’s mandated minimum nurse staffing ratios.32 This variable was constructed at the hospital level as a dichotomous variable, with a zero indicating that the average hospital paediatric nurse staffing ratio (based on paediatric nurse reports) was greater than four patients per nurse and a one indicating that the average paediatric nurse staffing ratio was less than or equal to four patients per nurse.

A readmission was defined as any repeat hospitalisation occurring within 14 days or between 15 and 30 days of discharge from an index hospitalisation. To account for patient characteristics, we included measures of patient demographics (age, sex, race, payer), a measure of socioeconomic wellbeing for the patient’s home zip code based upon publicly available US census data,33 primary diagnosis, primary procedure type, comorbidities and illness severity (emergent admission, transfer admission, hospitalisation in the previous year).

Measures of hospital characteristics such as teaching intensity, technology level, bed size and type (children’s vs general) were included to account for organisational characteristics that could confound the relationship between staffing levels and readmission. Teaching intensity was modelled as a categorical variable based on the resident-to-bed (RB) ratio: non-teaching (RB ratio=0), minor teaching intensity (0<RB ratio ≤0.25) and major teaching intensity (RB ratio >0.25). Technology level related to the performance of cardiac and/or solid organ transplant surgery; hospitals performing neither procedure were classified as low-technology hospitals while those performing one or both were classified as high-technology hospitals. Bed size was modelled as a continuous variable. A hospital was classified as a children’s hospital if (a) has a paediatric residency programme or (b) has a primary affiliation with a medical school.14

We used common identifiers to aggregate nurses and patients within hospitals, though we were unable to link the outcomes of individual patients with data provided by individual nurses. The final sample consisted of data from 14 194 RNs and 90 459 children in 225 hospitals, 19 of which were children’s hospitals. The mean number of total nurse respondents per hospital was 63 (range 10–248) and the mean number of paediatric nurse respondents per hospital was 3.25 (range 1–40); there were 732 paediatric nurses distributed across study hospitals. The study protocol was reviewed and approved by the institutional review board of the University of Pennsylvania.

Risk adjustment and data analysis plan
Risk adjustment models for readmission at both time points were developed separately for medical and surgical cases by randomly splitting the full samples into estimation and validation samples. We included all the patient variables outlined above and assessed the fit of the models by C-statistics, which ranged from 0.70 to 0.79 for the medical models to 0.82 to 0.85 for surgical models.

Binary and multinomial logit regression models were constructed to estimate the effects of nurse staffing ratios on a child’s likelihood of readmission at multiple time points with and without controlling for relevant patient and hospital characteristics. Both approaches yielded nearly equivalent results, so we present results from the binary models for ease of interpretation and discuss only adjusted models. We compared children readmitted in discrete intervals within the first 30 days after discharge to children who did not experience a readmission at any point after their index hospitalisation, and we analysed medical and surgical cases separately. We transformed logit coefficients to ORs and used robust SEs to account for the clustering of patients within hospitals. All analyses were performed using Stata V11.0 (STATACorp, College Station, Texas, USA), and results were considered statistically significant at p<0.05.

RESULTS
Descriptive findings
We had complete data for 90 459 paediatric patients, who constituted approximately 67% of index admissions for the conditions of interest in the four states in 2006. Of these patients, 1075 (1.2%) were readmitted within 14 days and 656 (0.7%) were readmitted between 15 and 30 days of discharge. Medical cases accounted for 75% (n=68 321) of the overall sample and 96% (n=1663) of total readmissions. A breakdown of the sample distribution for individual diagnoses and procedures is available in the online supplementary appendix. Table 1 highlights characteristics of the patient sample. Slightly more than half of

the patients were non-white, and 62% were admitted to the hospital through the emergency department. Approximately 20% had a coded comorbidity as a secondary diagnosis and 3.2% had two or more comorbid conditions. Table 2 shows distributions of hospitals, patients and nurses across numerous hospital characteristics. Approximately 24% of hospitals were reported by nurses as having paediatric nurse staffing ratios of greater than five patients per nurse. Overall, 68% of hospitals were reported by nurses as meeting the California paediatric staffing ratio benchmark of four patients per nurse, and 73% of all patients in the sample were treated in these hospitals. Notably, 35% of children in the sample were treated in 8% of hospitals, which were classified as children’s hospitals.

Results of logistic regression analyses

Table 3 displays the univariable effects of patient and hospital characteristics on readmission outcomes across all patients. As expected, patient characteristics had significant unadjusted effects on readmission at both time points, particularly a hospitalisation in the previous year and the presence of multiple comorbid conditions. Staffing ratios also had significant unadjusted effects on readmission outcomes at both time points, and three of four hospital variables had significant effects on readmission at 15–30 days of discharge. We retained all variables in the final adjusted models including those that did not demonstrate statistical significance because of theoretical significance and their established use in prior paediatric outcome studies.14 15

The nurse staffing results of the adjusted models are shown in table 4. The direction of the effects of staffing ratios on likelihood of readmission at both time points was generally consistent with our hypothesis. We did not observe significant effects of hospital nurse staffing (all nurse staffing or paediatric staffing) on readmissions within 14 days for children in either group, though effects of all nurse staffing on readmission did approach statistical significance at p<0.10. In the case of surgical patients, the effect of all nurse staffing on 14-day readmission was the inverse of what was expected.

Higher average nurse staffing ratios in hospitals were strongly and significantly associated with increased odds of readmission for both medical and surgical patients within 15–30 days after discharge. The final adjusted OR (AOR) indicates that each one patient increase in a hospital’s average all-nurse staffing ratio increased a medical child’s odds of readmission within 15–30 days by a factor of 1.15, or 15% (95% CI 1.01 to 1.32) after controlling for relevant patient and hospital characteristics. Effects of hospital staffing ratios were strongest and most significant for paediatric nurse staffing ratios; each one patient increase in a hospital’s average paediatric staffing ratio increased a medical child’s odds of readmission within 15–30 days by a factor of 1.11, or by 11% (95% CI 1.02 to 1.20) and a surgical child’s odds of readmission within 15–30 days by a factor of 1.48, or by 48% (95% CI 1.27 to 1.73). Thus, as a hospital’s average paediatric nurse staffing ratio worsened, a child’s likelihood of readmission significantly increased.
Analysis of readmission based on whether hospitals met a contemporary paediatric nurse staffing benchmark also revealed significant effects at the 15–30 day interval; receiving care in a hospital that met the staffing benchmark of four or fewer paediatric patients per nurse was associated with a 24% decrease in the risk of 15–30 day readmission (AOR: 0.76, 95% CI 0.61 to 0.94) for medical patients and a 63% decrease in the risk of 15–30 day readmission (AOR: 0.37, 95% CI 0.13 to 1.00) for surgical patients.

No significant effects of hospital characteristics were observed in any models except for high technology status on 14-day readmission in medical patients (AOR: 0.75, 95% CI 0.57 to 1.00) for surgical patients.

No significant effects of hospital characteristics were observed in any models except for high technology status on 14-day readmission in medical patients (AOR: 0.75, 95% CI 0.57 to 0.99). As expected, patient characteristics exhibited strong and significant effects on readmission across all models including age, Medicaid as primary payer, non-white race, primary diagnosis/procedure, the presence of comorbid conditions, and a hospitalisation in the previous year.

**DISCUSSION**

The results of this study suggest that hospital patient-to-nurse staffing ratios are significantly associated with the likelihood of readmission from 15 to 30 days after discharge for children receiving care for common conditions: each additional patient per nurse added to a hospital’s average paediatric staffing ratio was associated with up to an 11% increase in the odds of readmission within 15–30 days after discharge for medical patients and up to a 48% increase in the odds of 15–30 day readmission for surgical patients. Further, children treated in hospitals meeting a

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**Table 3** Patient and hospital variables as univariable predictors of readmission across all patients

| Variables (estimated separately) | Within 14 days | | | 15–30 days |
|----------------------------------|----------------|-----------------|-----------------|
|                                  | OR (95% CI) | p Value | OR (95% CI) | p Value |
| Patient characteristics          |               |       |               |       |
| Age                              | 1.00 (0.98 to 1.01) | 0.57 | 0.97 (0.96 to 0.99) | 0.000 |
| LOS                              | 1.02 (1.01 to 1.03) | 0.000 | 1.01 (1.01 to 1.02) | 0.002 |
| Sex (male)                       | 1.14 (1.00 to 1.29) | 0.048 | 1.06 (0.91 to 1.23) | 0.45 |
| ED admission                     | 1.13 (0.94 to 1.36) | 0.19 | 1.07 (0.89 to 1.28) | 0.50 |
| Transfer admission               | 1.28 (0.98 to 1.67) | 0.071 | 1.19 (0.83 to 1.72) | 0.34 |
| Hospitalisation in previous year | 5.75 (4.88 to 6.78) | 0.000 | 8.70 (7.26 to 10.44) | 0.000 |
| Medicaid as primary payer        | 1.53 (1.32 to 1.76) | 0.000 | 1.90 (1.55 to 2.32) | 0.000 |
| Non-white race                   | 1.34 (1.15 to 1.56) | 0.000 | 1.61 (1.36 to 1.90) | 0.000 |
| 1 comorbid condition (compared to none) | 1.85 (1.60 to 2.14) | 0.000 | 2.02 (1.62 to 2.51) | 0.000 |
| 2 or more comorbid conditions (compared to none) | 3.96 (3.21 to 4.89) | 0.000 | 3.64 (2.70 to 4.91) | 0.000 |
| Hospital characteristics         |               |       |               |       |
| Major teaching hospital          | 1.15 (0.98 to 1.34) | 0.091 | 1.18 (0.99 to 1.42) | 0.068 |
| Hospital bed size                | 1.06 (0.90 to 1.24) | 0.52 | 1.31 (1.08 to 1.58) | 0.005 |
| Children’s hospital              | 1.09 (0.87 to 1.37) | 0.46 | 1.38 (1.05 to 1.82) | 0.021 |
| High technology hospital         | 0.93 (0.77 to 1.13) | 0.48 | 1.48 (1.09 to 2.01) | 0.012 |
| Overall patient to RN ratio      | 1.09 (1.00 to 1.19) | 0.046 | 1.07 (0.96 to 1.20) | 0.19 |
| Peds patient to RN ratio         | 1.07 (1.01 to 1.14) | 0.035 | 1.17 (1.09 to 1.26) | 0.000 |
| Hospital met CA peds ratio       | 0.77 (0.62 to 0.95) | 0.014 | 0.61 (0.47 to 0.79) | 0.000 |

ED, Emergency Department; RN, Registered Nurse.

**Table 4** Final adjusted models of hospital nurse staffing ratios and odds of readmission

|                          | Within 14 days | | | 15–30 days |
|--------------------------|----------------|-----------------|-----------------|
|                          | OR (95% CI) | p Value | OR (95% CI) | p Value |
| Medical cases (n=68294)  |               |       |               |       |
| All nurse staffing       | 1.10 (0.99 to 1.21) | 0.072 | 1.15 (1.01 to 1.32) | 0.031 |
| Paediatric staffing      | 1.01 (0.94 to 1.09) | 0.81 | 1.11 (1.02 to 1.20) | 0.013 |
| Hospital met CA peds ratio | 0.98 (0.81 to 1.19) | 0.85 | 0.76 (0.61 to 0.94) | 0.010 |
| Surgical cases (n=22119) |               |       |               |       |
| All nurse staffing       | 0.63 (0.37 to 1.06) | 0.082 | 1.57 (0.98 to 2.51) | 0.059 |
| Paediatric staffing      | 1.01 (0.78 to 1.31) | 0.93 | 1.48 (1.27 to 1.73) | 0.000 |
| Hospital met CA peds ratio | 1.07 (0.45 to 2.54) | 0.88 | 0.37 (0.13 to 1.00) | 0.050 |

paediatric staffing benchmark were significantly less likely to experience a readmission. We did not observe significant effects of nurse staffing ratios on readmissions occurring within 14 days of discharge.

The relationship between leaner hospital nurse staffing ratios and higher likelihood of readmission may be explained by nurses having less time to engage in meaningful teaching and discharge preparation due to higher workloads. Nurses manage added workload by cognitively prioritising responsibilities, where immanent clinical concerns and high uncertainty activities are addressed before routine care.35 Because patient and family teaching and discharge preparation is generally not considered as urgent as other care needs such as medication administration or responding to clinical deterioration, it may be more likely to be delayed or missed. This interpretation is supported by prior studies of nurse-reported care omissions; Aiken et al.36 found that approximately 28% of 43,329 surveyed hospital nurses reported not performing essential patient and family teaching on their last shift worked due to lack of time and 13% reported not having time to prepare patients and families for discharge. A more recent study by Kalisch and colleagues found that of 4086 nurses in 10 hospitals, approximately 5% reported omitting discharge planning and teaching ‘frequently’ or ‘always’.37 Reduced nurse availability as a consequence of workload is also thought to result in decreased awareness of patient conditions and needs.38 39 Multiple studies have identified unresolved problems during an index hospitalisation as likely contributors to readmission,40-42 and one study estimated that up to 37% of adult hospital readmissions were attributable to hospital systems factors that impact quality.43

Our finding that staffing effects are significant for readmission from 15 to 30 days but not for readmissions within the first 14 days after hospital discharge is contrary to our assumptions before the study. We can only speculate as to why early readmissions are not sensitive to hospital nurse staffing. It is possible that staffing levels are associated with other important unmeasured hospital characteristics that correspond to readmission, resulting in confounding of the effects of staffing on early readmission. It is also plausible that for some conditions, particularly surgical conditions, hospital-related complications such as pneumonia or wound infections are not clinically obvious in the immediate post-discharge period, resulting in a later readmission. Additionally, we theorise that paediatric readmissions with 15–30 days may actually be an appropriate metric of hospital quality due to what we term the ‘competent caregiver effect’. Unlike many hospitalised adults, ill children are discharged home with caregivers who bear primary responsibility for the child’s health and well-being. Despite inadequate discharge preparation or otherwise poor quality care, competent caregivers may be able to manage the child’s care at home for an extended period of time until they cannot, at which time they return to the hospital. In essence, hospitalised children may have a caregiver safety net after discharge that many hospitalised adults do not, and this may make the difference between an earlier (within 14 days) and later (15 to 30 days) readmission.44 Clearly more research is needed to better understand the reasons for children’s readmissions and thus identify which ones are potentially preventable.

While there is ample evidence that patients treated in hospitals with better nurse staffing experience better outcomes, there is limited evidence to determine what the optimal staffing levels should be for a given patient population and/or hospital unit type. We have compared the outcomes of children treated in hospitals that meet the current California mandated staffing ratio of four or fewer paediatric patients per nurse and found that treatment in a hospital meeting this standard significantly reduces the risk of readmission up to 63%. This finding is important because it speaks to the validity of the California minimum staffing ratio for paediatric care, but we emphasise that our results are preliminary and should be tested in further studies.

Limitations
We cannot confirm causal relationships between nurse staffing levels in hospitals and paediatric readmissions due to the observational and cross-sectional nature of the data. Confounding is a real possibility; we were unable to account for a number of variables that could be associated with both nurse staffing levels and readmission outcomes in our sample such as hospital financial resources, hospital occupancy levels and planned readmissions. We recommend the use of factorial designs in future staffing research, such that the effects of variable staffing levels can be evaluated in a manner that approaches experimental design.45 46 Similarly, future studies of nursing care quality and hospital readmission should focus on analysis of early preventable readmissions in a sample of hospitals where key contextual variables, such as resources and quality improvement sophistication, are measured and controlled.

We analysed all-cause readmission due to the difficulty in discerning clinical relevance in administrative data, yet recognise that a repeat hospitalisation may either have no relationship to a prior hospitalisation or that it may be perfectly correlated as a planned readmission for ongoing therapeutic care.18 We acknowledge that staffing ratios are only one measure of nurses’ workloads and are indirect measures of the quality and dose of nursing care that is delivered at the bedside. Process-oriented measures of nursing care, such as adequacy of discharge preparation or missed teaching opportunities, may prove to be correlates of readmission similar to process measures of physician care.40
CONCLUSION
We have found that average paediatric nurse staffing ratios are significantly associated with hospital readmission for children with common medical and surgical conditions. To our knowledge, this study is the first to explicitly examine and find an association between staffing ratios and hospital readmission in pediatrics.

Our findings have implications for hospital administrators given the national emphasis on reduction of readmissions by payers. The role of nursing care in reducing readmissions has traditionally focused on nurse-led discharge planning programmes in the inpatient setting and nurse-directed home care for patients with complex or chronic conditions. While these nurse-oriented interventions have been shown to significantly reduce readmissions, our findings suggest that hospitals might also achieve reductions in readmission by focusing on the number of patients assigned to nurses. In pediatrics, limiting nurses’ workloads to four or fewer patients appears to have benefits in reducing readmissions.

Further, hospitals are earnestly examining their discharge processes and implementing quality improvement programmes aimed at preparing patients and families to manage health condition(s) beyond the hospital. Quality improvement initiatives to improve inpatient care delivery often depend upon the sustained efforts of front-line workers, particularly nurses. Prior research shows that hospitals with better nurse staffing ratios deliver Joint Commission-recommended care for key conditions more reliably, highlighting the inter-relationship of nurse staffing levels and quality improvement success. The sustainability of quality improvement initiatives related to paediatric readmission may ultimately depend on nurses’ ability to direct meaningful time and attention to such efforts.

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