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'Not another safety culture survey': using the Canadian patient safety climate survey (Can-PSCS) to measure provider perceptions of PSC across health settings

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ABSTRACT

Background The importance of a strong safety culture for enhancing patient safety has been stated for over a decade in healthcare. However, this complex construct continues to face definitional and measurement challenges. Continuing improvements in the measurement of this construct are necessary for enhancing the utility of patient safety climate surveys (PSCS) in research and in practice. This study examines the revised Canadian PSCS (Can-PSCS) for use across a range of care settings.

Methods Confirmatory factor analytical approaches are used to extensively test the Can-PSCS. Initial and cross-validation samples include 13 126 and 6324 direct care providers from 119 and 35 health settings across Canada, respectively.

Results Results support a parsimonious model of direct care provider perceptions of patient safety climate (PSC) with 19 items in six dimensions: (1) organisational leadership support for safety; (2) incident follow-up; (3) supervisory leadership for safety; (4) unit learning culture; (5) enabling open communication I: judgement-free environment; (6) enabling open communication II: job repercussions of error. Results also support the validity of the Can-PSCS across a range of care settings.

Conclusions The Can-PSCS has several advantages: (1) it is a theory-based instrument with a small number of actionable dimensions central to the construct of PSC; (2) it has robust psychometric properties; (3) it is validated for use across a range of care settings, therefore suitable for use in regionalised health delivery systems and can help to raise expectations about acceptable levels of PSC across the system; (4) it has been tested in a publicly funded universal

health insurance system and may be suitable for similar international systems.

BACKGROUND

The importance of a strong safety culture for enhancing patient safety has been stated for over a decade in healthcare.^{1 2} Increasing empirical evidence supports the relationship between staff perceptions of safety culture and safety behaviours^{3 4} and outcomes in healthcare^{5–8} and in other industries.^{9–11} In addition, recent work has found strong positive relationships between staff perceptions of safety culture and family member satisfaction¹² and patient satisfaction.¹³ In organisations with health professional trainees, safety culture has a vital role, albeit not always positive,¹⁴ in imparting the importance of patient safety (PS) for effective practice.¹⁵ The importance of culture is further reflected in the crucial role it has played (1) in the Keystone work to reduce central line-associated bloodstream infections in the intensive care unit (ICU)¹⁶ and (2) in the successful use of surgical safety checklists^{17 18}—two of the most effective, evidence-based patient safety improvement interventions to date.^{19 20}

Given the importance of patient safety culture and ongoing challenges in our ability to measure safety improvements,¹⁶ the need for robust measures is clear. Safety climate is a perceptual measure that can serve as a window through which culture can be viewed²¹ (see the 'Construct' section below for a more detailed discussion of culture and

climate). Several patient safety climate (PSC) instruments have been developed and are widely used. Researchers have advanced construct measurement of PSC through the use of relational approaches that focus on convergent and discriminant validity²² and through the use of exploratory and confirmatory factor analytical approaches designed to identify unique PSC dimensions.^{23–25} However, despite these advances in PSC measurement, challenges persist. It was recently pointed out²⁶ that PSC measures have become increasingly broad, and strong evidence of psychometric rigour remains limited for perceptual measures of PSC²⁷ (see box 1).

Persistent PSC measurement challenges mean that continued efforts are required to strengthen existing models and measures. The revised²⁸ Canadian Patient Safety Climate Survey (Can-PSCS) provides a parsimonious measure of direct care providers' perceptions of PSC and has been adopted for use by Accreditation Canada. This paper reports on the psychometric properties of the Can-PSCS, including its suitability for use across different care settings.

Do we really need another PSC survey?

The Can-PSCS can help to deal with three challenges—survey length, national validation and psychometric rigour (see box 1)—and offers the following benefits: (1) most importantly, in contrast to recent work, to create or modify PSC surveys for use in specific sectors,^{23 29 30} the Can-PSCS is designed and tested for use across a variety of care settings—an instrument that can be used across settings is particularly well suited to regionalised health delivery systems and can help to raise expectations about acceptable levels of

PSC across the system; (2) its psychometric properties are among the most robust of PSC surveys; (3) it is based on sound theoretical approaches and includes a small number of actionable dimensions central to the construct of PSC; and finally (4) the Can-PSCS has been tested in a publicly funded universal health insurance system—a system similar to that found in many European and other international contexts.

Ultimately, we require sound measures of PSC in order to be able answer other important (and, indeed, more interesting) questions about PSC, such as whether we can account for which PSC dimensions can differentiate units or organisations, which dimensions have shown the strongest relationship with outcomes and which dimensions are most amenable to intervention and change.

The construct

The concepts of organisational culture and climate have been around since the 1970s; however, three recent papers^{21 37 38} from the fields of organisational behaviour and industrial/organisational psychology make important contributions about these constructs. Climate is an experientially based perception of *what* happens to people in an organisational situation.³⁷ It involves employees' perceptions of the procedures, practices and kinds of behaviours that get rewarded and supported with regard to a specific strategic focus such as patient safety.²¹ Culture resides at a deeper level and can be defined as the shared basic assumptions, values and beliefs that characterise a setting³⁸ and helps define *why* things happen in an organisation.³⁷ Climate and culture are increasingly viewed as complementary constructs²¹ that reflect an important aspect of organisational context.³⁷ Schein³⁹ recently characterised *climate* as providing the behavioural evidence for the *culture* of a setting—put differently 'Climate can serve as a window through which organisational culture can be viewed'.²¹ Culture and climate are multilevel constructs in that employees develop climate perceptions of the overall organisational climate as well as perceptions of the group/subunit level climate in which they work.²¹ These perceptions may be consistent or discrepant, but both are important predictors of safety behaviour.⁴⁰

Climate should be conceptualised and measured in a focused,³⁸ domain-specific⁴⁰ way rather than as a global measure (eg, climate measures should focus on safety or some other strategic area). Moreover, members of the organization should be evaluating (1) the importance of the strategic area of focus (eg, safety) relative to other strategic priorities; (2) the alignment between espoused and enacted priorities (eg, what leadership says vs what they do); and (3) the consistency between organisational level policies and procedures and implementation practices in subunits that are subject to supervisory discretion.

Box 1 Persistent challenges of PSC measurement

- ▶ PSC is increasingly defined using a broad set of dimensions,²⁸ which Singer and Vogus suggest, 'dilute this domain'.²⁶ More parsimonious models and shorter surveys are required to facilitate use of data on PSC perceptions.²⁶
- ▶ PSC factor structures are not replicated in UK,³¹ Swiss³² and French³³ samples but supported in others³⁴ (perhaps owing to unique country characteristics, types of health systems, samples, cultural differences).³²
- ▶ Many PSC dimensions often fail to achieve reliable internal consistency.^{31 35}
- ▶ Strong evidence of psychometric rigour using independent samples for cross-validation (ie, EFA and CFA performed on separate samples)³⁶ remains limited.

CFA, confirmatory factor analysis; EFA, exploratory factor analysis; PSC, patient safety climate.

The theoretical model for the Can-PSCS is in keeping with the above principles and is rooted in Zohar's^{41 42} and Hofmann and Mark's⁵ work on safety climate. The Can-PSCS dimensions of *Organisational leadership for safety* and *Supervisory leadership support for safety* are supported by Zohar's definition of safety climate as management commitment to,⁴¹ and prioritisation⁴³ of, safety by leadership at multiple levels.⁴² The Can-PSCS dimensions of *Patient safety learning culture* and *Communicating/talking about errors* are consistent with Hofmann and Mark's⁵ model of safety climate, which draws on the error literature and places emphasis on 'constructively responding to errors, openly communicating about these errors and the extent to which the social context encourages or discourages these behaviours' (2006: 849).

Finally, the terms culture and climate are often used interchangeably.⁴⁴ Organisational leaders tend to be unconcerned with distinctions between the two constructs and culture seems to be their preferred term,³⁸ but the Can-PSCS is technically a measure of climate and is described as such from here.¹

METHODS

In this study we used survey data collected from staff in a large, cross-sectional sample of Canadian healthcare organisations to examine the factor structure of the Can-PSCS and determine scale internal consistency. Survey data were collected in 2011 by Accreditation Canada as part of the Qmentum accreditation programme.⁴⁵

Sampling and procedures

Accreditation Canada provided the lead author with all anonymised Can-PSCS data collected between April and October 2011 as part of the Qmentum accreditation process ('the initial sample'). From the initial sample we used data from 13 126/16 410 (80%) responders in 119 organisations whose job category was self-reported as 'direct care to clients'. Accreditation Canada provided a second anonymised dataset for cross-validation ('the cross-validation sample') that included survey responses from 6324 direct care providers in 35 additional organisations that deployed the Can-PSCS in November and December 2011. Focusing on data from direct care providers remains true to the construct definition of 'employee perception of PSC'.

¹Previously the Can-PSCS was described as a culture survey since culture was the term more commonly used in practice settings. This approach was in keeping with views of safety climate as a surface manifestation of safety culture (Schein, 1990). For consistency purposes and to respond to the Canadian practice environment, Accreditation Canada refers to the Can-PSCS as the Canadian Patient Safety Culture Survey.

These organisations represent the continuum of care and the 13 126 direct care providers came from hospitals (28%), nursing homes (32%), ambulatory and community-based health organisations (14%), homecare agencies (5%), mental health (7%) and other settings. Most hospitals and a large proportion of other healthcare organisations in Canada participate in the Accreditation Canada process which operates on a 4-year cycle. During each cycle organisations distribute the PSC survey for completion. In an effort to ensure data are representative, a minimum number of responses are set by Accreditation Canada based on the number of staff in each organisation. However, because distribution of the questionnaire ultimately resides with each organisation, Accreditation Canada does not have access to the data necessary to accurately calculate survey response rates for each organisation. Details on the Accreditation Canada Qmentum accreditation programme and processes for survey data collection are provided in the online supplementary technical appendix.

Because the data we report in this paper were provided in anonymised form to the lead author for secondary analyses, the results were exempt from review by the Office of Research Ethics at York University where the lead author is employed.

Survey development

The Can-PSCS was designed to capture staff perceptions of patient safety culture. Earlier versions of the instrument (described previously^{28 46}) were adapted from work by Singer and colleagues,⁴⁷ Hofmann⁵ and the Agency for Healthcare Research and Quality (AHRQ),⁴⁸ and included selected items and dimensions suited to the Canadian context. In 2010 the instrument underwent a major revision to improve its factor structure and yield a more parsimonious, theory-based measurement model of PSC. This revision was undertaken with insights described above regarding the conceptualisation and measurement of PSC in mind. The 2010 revision focused on identifying a series of items to comprehensively measure the area of *Communicating and talking about errors*. As early as 1980,⁴¹ Zohar identified 'communication' as vital to safety climate. More recently others drew attention to the importance of openly communicating about errors and the extent to which the social context encourages or discourages this.⁵ Details of the revision are provided in the online supplementary appendix under 'Survey revision process'.

The 2010 Can-PSCS contained 38 items designed to reflect (1) management commitment to safety at the organisational level (*Organisational leadership for safety*—seven items adapted from the Patient Safety Climate in Healthcare Organizations (PSCHO)⁴⁷); (2) immediate supervisory-level commitment to safety (*Supervisory leadership support for safety*—five items adapted from the AHRQ PSC survey³⁵); (3)

constructive response to errors (*Patient safety learning culture*—six items adapted from Hofmann⁵) and (4) open communication/talking about errors (20 items that emerged from the survey revision process outlined in the online supplementary appendix). These four areas are consistent with robust models of safety climate that have been shown to predict safety outcomes.^{5 43} All items are answered using a five-point disagree–agree Likert-type scale and include a ‘not applicable’ option.

Analysis

The validation work described here involved all 38 items on the 2010 survey. Although the domains of PSC in the 2010 survey were theoretically derived, the construct of patient safety culture has been described as having the ‘definitional precision of a cloud’.⁴⁹ Given this definitional imprecision and the large-scale nature of the changes to the 2010 survey, we carried out exploratory factor analysis (EFA) followed by confirmatory factor analysis (CFA) using two separate random samples of 3000 cases drawn from our large initial sample. CFA is a measurement model which depicts the links between latent variables (in this case the PSC dimensions) and their observed measures—the items used to measure each of these dimensions.⁵⁰

We used AMOS V.7 (SPSS, Inc, Chicago, Illinois, USA) and performed a series of six CFAs. The model that emerged from the EFA was tested in CFA-1 and did not demonstrate good fit. Modified models with fewer items were tested in CFA-2 and CFA-4. With such retrofitting of a model to the data (eg, removing items that are not well accounted for by the model), standard psychometric practices for establishing construct validity require use of a separate (cross-validation) sample⁵¹—this was done in CFA-6 using the validation sample provided by Accreditation Canada. CFA-3 and CFA-5 used multiple group CFA techniques⁵⁰ to test the validity of CFA-2 and CFA-4, respectively, for measurement invariance across five different care settings: acute care medicine, long-term care, homecare, community care and ambulatory care.

The comparative fit index (CFI) and the root mean square error of approximation (RMSEA) were used to evaluate model fit in all CFA models. Models with CFI values >0.95 and RMSEA values <0.06 are indicative of good model fit.³⁶ These criteria have been used previously in medical education research.⁵² Given controversy surrounding their use, χ^2 values are provided and discussed only in the paper’s online supplementary appendix along with other fit indices (goodness-of-fit index, adjusted goodness of fit and χ^2 to df ratio). Slightly different metrics are required to evaluate multiple group CFA.⁵⁰ Accordingly, CFA-3 and CFA-5 model fit would be supported by non-significant χ^2 difference values and by changes in CFI < 0.01. Finally, internal consistency reliability of

the final dimensions of PSC was examined using Cronbach’s α coefficients for all care settings combined and for the five separate care settings noted above.

RESULTS

Respondents

Because complete data are required for CFA, listwise deletion of incomplete data was used. Seventy-six per cent of direct care providers in the initial sample (9978/13 126) provided complete data. From these 9978 cases we randomly selected two samples of 3000 cases for the EFA and CFA-1, respectively. Using the initial sample, the multiple group CFA (CFA-3 and CFA-5) included all 788, 544, 147 and 536 cases from acute care medicine, homecare, community care and ambulatory care, respectively. Additionally, a random sample of 568 cases from long-term care was used as a much larger proportion of respondents were from this sector than from the other sectors examined. In the cross-validation sample (CFA-6) listwise deletion of incomplete data yielded 5296 usable cases for analysis.

Factor structure and reliability of the Can-PSCS

A six-factor model with the following properties emerged as the strongest model in the EFA: (1) the *Organisational leadership for safety*, *Supervisory leadership support for safety* and *Patient safety learning culture* dimensions retained from previous versions of the survey were largely supported; (2) the 20 items added to the 2010 survey to reflect *Communication and talking about errors* factored into unique dimensions with one exception—four items that ask about managerial feedback and follow-up about errors loaded on the *Organisational leadership for safety* dimension but had low loadings; (3) the *Supervisory leadership support for safety* items loaded on two factors—one with the negatively phrased items and one with the positively phrased items; (4) five items had very low loadings or cross-loadings and were excluded from subsequent models. It is important to note that theoretical consideration was given to these five items and all other items removed at later stages of the factor analysis process. All removed items were either redundant, were further from the centre of the latent construct or had been flagged previously by Accreditation Canada as being inconsistently interpreted by survey respondents. Results are shown in online supplementary table S1, EFA column.

Based on the EFA results we tested a seven-factor model in CFA-1 that included 33 items. This model included the six dimensions from the EFA with the four items about managerial feedback and follow-up on errors as a separate (seventh) dimension. While there was some uncertainty about proposing and testing two factors of *Supervisory leadership support for safety* suggested by the EFA (one with negatively

phrased items and one with positively phrased items), it was felt that both groups of items needed to be retained at this stage (implications of using negatively phrased items in surveys are included in the 'Discussion'). The CFA-1 model did not fit the data well (CFA-1 $\chi^2=4095.45$, $df=474$, $p=0.000$, CFI=0.926, RMSEA=0.050). Ten items that were not well accounted for by the model were excluded from CFA-2 and are shown with an asterisk in the CFA-2 column of online supplementary table S1.

The retrofitted seven-factor, 23-item model produced good model fit in CFA-2 ($\chi^2=1134.97$, $df=209$, $p=0.000$, CFI=0.971, RMSEA=0.038). However, CFA-3, which used multiple group CFA techniques,⁵⁰ did not support invariance across the five care settings of interest in our sample (acute care medicine, long-term care, homecare, community care and ambulatory care) (baseline model CFI=0.944, RMSEA=0.021).

After CFA-3 a decision was made to remove the negatively phrased supervisory leadership items—two of the items were not well accounted for by the CFA-3 model and the scale α for these three negatively phrased items was <0.70 . The six-factor 19-item model examined in CFA-4 produced good model fit ($\chi^2=641.63$, $df=137$, $p=0.000$, CFI=0.981, RMSEA=0.035), and CFA-5 results largely support model invariance across these five settings ($\Delta CFI=0.001$, $\Delta\chi^2 p=0.01$).

Finally, results of CFA-6 support good model fit for the cross-validation sample ($\chi^2=906.07$, $df=137$, $p=0.000$, CFI=0.983, RMSEA=0.033). Summary results of all six confirmatory models are provided in table 1. The final path diagram is shown in figure 1. Item reductions that were made at each stage of the analyses described above are summarised in online supplementary table S1. The items shown in red text in online supplementary table S1 are the 19 items that were retained and are recommended for the final

Can-PSCS measurement instrument. In addition, we recommend retaining two stand-alone items: 'My organisation effectively balances the need for patient safety and the need for productivity' as it reflects the essence of PSC and, given the potential for well-designed and easy to use reporting systems to foster safety culture,⁵³ we also suggest retaining the item, 'Individuals involved in patient safety incidents have a quick and easy way to report what happened'. More detailed results of CFA-1 through CFA-6 are provided in the online supplementary appendix.

The internal consistency reliability of the *Organisational leadership for safety*, *Supervisory leadership support for safety* and *Learning culture* dimensions exceeded 0.80 for all care settings combined and approached or exceeded 0.80 for the five separate care settings individually (acute care medicine, long-term care, homecare, community care and ambulatory care). For the other three dimensions internal consistency reliability exceeded 0.70 for all care settings combined, and approached or exceeded that level for each of the five care settings individually.

DISCUSSION

The results of the validation work presented here support the six-factor, 19-item model tested in CFA-4, found invariant to care setting in CFA-5 and cross-validated in CFA-6. The results in online supplementary table S1 make clear that the 19 items in the final Can-PSCS tap six relatively distinct and theoretically important^{5 41 42} dimensions of PSC: (1) Organisational (senior) leadership support for safety has four items and reflects perceptions of senior-level leadership commitment to patient safety; (2) Incident follow-up provides an expression of management commitment to safety and has three items about staff perceptions of whether there is feedback and change when incidents are reported; (3) Supervisory leadership for safety has two items and reflects perceptions of front-line-level leadership commitment to patient safety; (4) Unit learning culture has four items that reflect staff perceptions of learning from serious errors (ie, analysis of failures and plans to prevent reoccurrence). Dimensions (5) Enabling open communication I: judgment-free environment and (6) Enabling open communication II: job repercussions of error, each have three items and reflect perceived repercussions of error which can limit open communication. Our results make contributions to research and to practice. Each are described in turn.

Contributions to research

First, most CFA work on PSC instruments^{24 25} has yielded 'acceptable' model fit (eg, CFI exceeds 0.90) as defined by Bentler.⁵⁴ While our CFA results are indicative of 'good' fit (CFI>0.95),³⁶ less common indices of fit suggest our measurement model could be even more robust (see online supplementary

Table 1 Confirmatory factor analysis summary results

CFA model	CFI	RMSEA	Fit assessment ³⁶
CFA-1	0.926	0.050	Unacceptable
CFA-2	0.971	0.038	Good
CFA-3	0.944 $\Delta CFI=0.001^*$ $\Delta\chi^2 p=0.000^*$	0.021	Borderline
CFA-4	0.981	0.035	Good
CFA-5	0.960 $\Delta CFI=0.001^*$ $\Delta\chi^2 p=0.01^*$	0.028	Good
CFA-6†	0.983	0.033	Good

*Indices for measurement of invariance (the meaning of the six patient safety climate factors that is reflected in the number of factors and their items is equivalent across the groups).

†Cross-validation sample.

CFA, confirmatory factor analysis; CFI, comparative fit index; RMSEA, root mean square error of approximation.

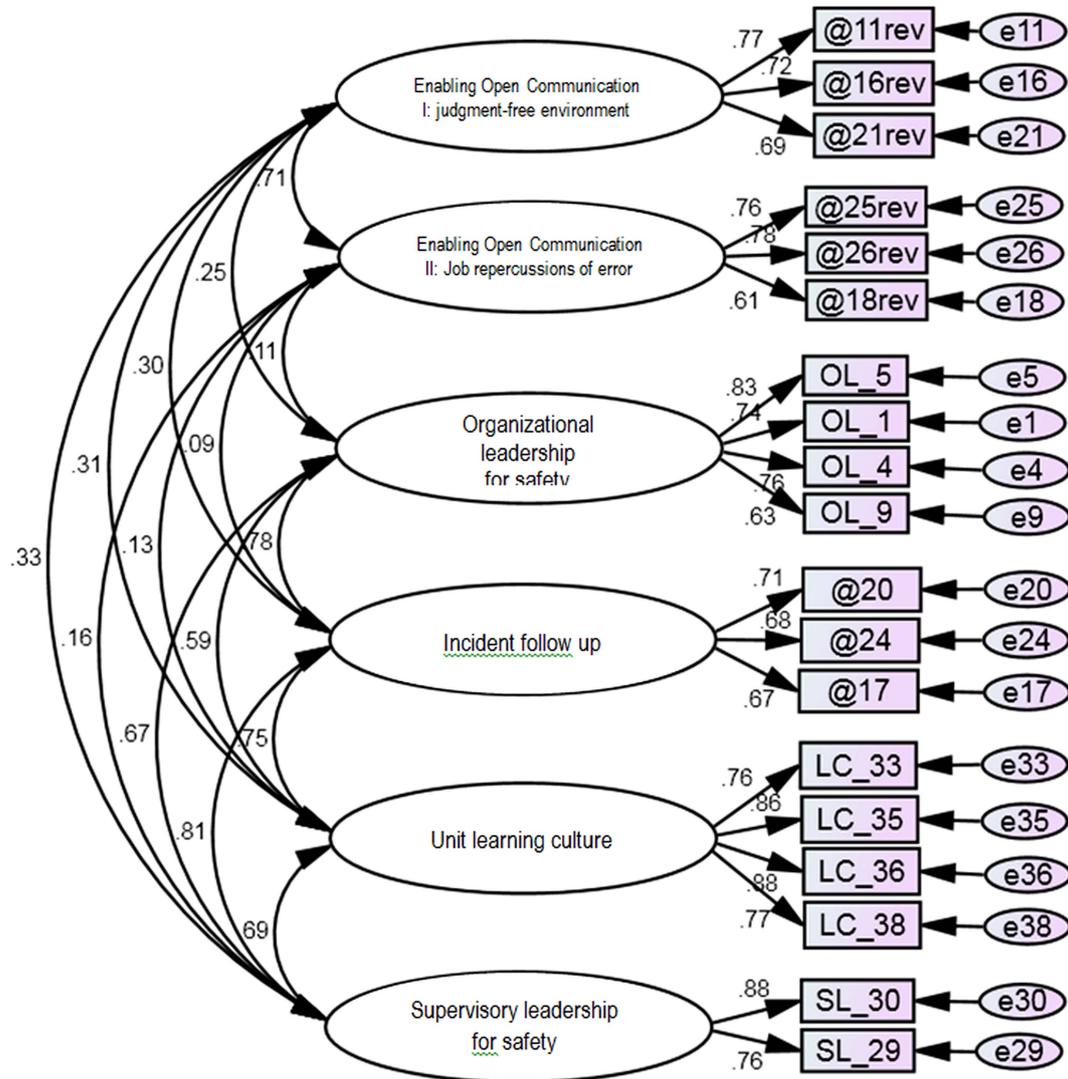


Figure 1 Final reduced six factor confirmatory factor analysis (CFA) model (outcome of CFA-6).

appendix CFA results). For instance, results of discriminant validity analysis (see online supplementary appendix) suggest the incident follow-up dimension shares a fair amount of variance with both the organisation-level and supervisory-level leadership dimensions, suggesting that these practices are perceived to be tied to leadership at both levels in an organisation. Our scale α values which are largely in the 0.70–0.80 range are consistent with historical α values for perception scales,⁵⁵ and meet recommendations for preliminary and basic research.^{55–56} However, values of $\alpha > 0.90$ are recommended for applied research. So while the psychometrics we report are among the strongest for measures of PSC in healthcare, ongoing improvements to measurement of this construct continue to be required.

Second, by adhering to the PSC construct definition, we have endeavoured to contribute to much needed research on the dimensions or ‘profile’ of safety culture.²⁶ In the past, definitions of the constructs of culture and climate, as well as more focused

constructs of PSC, have been ambiguous^{21–38} and, perhaps as a result, a wide range of dimensions have been included in instruments that measure safety culture/climate.²⁶ Data reported in this paper that have led to stronger psychometrics for the Can-PSCS than in previous versions of the instrument²⁸ (as well as improvements others have made between early and more recent versions of the PSCHO²²) are the result of removing survey items that reflect general perceptions of safety in favour of retaining items that, true to the concept of safety climate,²¹ reflect employee perceptions of safety behaviours that are valued and rewarded at multiple levels in an organisation.

Third, our results draw attention to potential methods effects in scaling when both positive and negatively phrased items are present. Negatively worded items are intended to act as ‘cognitive speed bumps’ that help people respond to questions carefully.⁵⁷ However, sometimes constructs conceptualised as unidimensional may appear as multidimensional with positively and negatively worded items forming

two separate factors.⁵⁸ The initial bank of positive and negatively phrased items we used to measure supervisory leadership for patient safety seemed to show these kinds of systematic methods effects. Researchers who continue to work on the psychometrics of PSC measurement should be aware of these potential methods effects in scaling.

Contributions to practice

First, the Can-PSCS and the approach we used to evaluate its properties reflect progress on a number of broader patient safety fronts: (1a) the Can-PSCS can improve our ability to measure progress in safety improvement—an area where progress remains limited¹⁶; (1b) it meets the need for greater use of theory to improve the science of patient safety⁵⁹ and (1c) it makes progress on the relative inattention to safety in non-institutional settings.^{16 23 29}

Second, from a practice standpoint, additional contributions include the fact that the Can-PSCS: (2a) has been tested in a publicly funded universal health insurance system and so may be well suited to European and other international jurisdictions with similar healthcare systems; (2b) reflects a few actionable dimensions central to the construct of PSC, comprises only 19 items and can therefore be used quickly and frequently; and (2c) is designed and tested for use across a wide range of care settings and is therefore particularly well suited to regionalised healthcare delivery systems. Uniquely, the Can-PSCS is being used across settings by a national accreditation body and this presents opportunities to examine whether PSC is stronger in certain sectors or, as suggested by one reviewer, it may offer the potential for healthy competition or at least the setting of expectations about adequate levels of safety climate across settings.

Third, from an organisational standpoint, the Can-PSCS can be used: (3a) to assess the dimensions in which staff feel management commitment to patient safety is strong and weak; (3b) before patient safety improvement interventions in order to assess the context for change (eg, initiatives focusing on learning from errors can be more effectively designed when staff perceptions of ‘enabling open communication’ and ‘unit learning’ are understood); and (3c) during improvement initiatives to monitor intended as well as any *unintended* consequences. In each of these instances, achieving high response rates will greatly improve the value of the data collected. Other instruments that are designed to measure PSC, such as the Safety Attitudes Questionnaire and AHRQ tools, can also be used. In addition, these other tools can meet more specific needs—those who are seeking unit-focused measures of teamwork can use the Safety Attitudes Questionnaire²⁵ and those seeking data on a broad range of safety and safety climate dimensions will find the AHRQ PSC survey²⁴ very useful. Given stricter requirements for accountability,⁶⁰ and the

reality that public reporting can detract from improvement by shifting attention and resources elsewhere,⁶¹ we suggest that the Can-PSCS is more appropriately used for improvement and research than for public reporting.

Limitations and future research

This study has several limitations. First, the data were collected as part of the accreditation process and although this allowed for analysis of a large amount of data from direct care providers working across the continuum of care, detailed information on response rates and detailed respondent demographics were not captured during the data collection process. It is, however, unlikely that non-responders’ conceptualisation of the dimensions of PSC would be structurally different. Second, there are questions about generalisability. It is possible that providers from other national cultures may find different meaning in the six PSC dimensions validated in this Canadian sample. Further research and cross-validation of the Can-PSCS will be required with international samples of direct care providers working in different care settings (one recent paper highlights the importance of international cross-validation work⁶²). Relatedly, given that different groups of direct care providers such as physicians and nurses have differing perceptions of PSC,⁶³ the field would benefit from additional research examining whether the Can-PSCS dimensions change with staff role. Third, Canadian healthcare settings are culturally diverse with a large proportion of providers with English as a second language. Additional validation work is required that explores validity in this context. Fourth, our study focuses on quantitative approaches to assessing patient safety culture. Organisations and work units wishing to understand their own patient safety culture are advised to use both quantitative and qualitative approaches to obtain the breadth and depth of understanding afforded by these two methods, respectively. Finally, our data came from a relatively small number of care providers dispersed across a large number of care units and organisations and therefore were not suitable for multilevel CFA.

Studies examining PSC at different levels (organisation, unit, profession)^{40 64} continue to advance the field of PSC measurement; however, additional research is still needed.²⁶ Such research would benefit from related debate in the organisational literature about the assessment of climate/culture strength. Culture strength involves both high levels of agreement among employees about what is valued and high levels of intensity about these values.⁶⁵ In 2009²⁸ we pointed out that consideration should be given to both the consensus approach (where consensus among individuals in their perceptions of climate is required before staff perceptions can be meaningfully aggregated to represent unit or organisational climate) and the dispersion approach (which suggests that lack of

agreement among staff on a unit or in an organisation is important in and of itself as it is indicative of a weak climate) (see Schneider *et al*⁶⁶ and Ostroff *et al*³⁷ for further discussion).

Finally, given that organisations have several coexisting, domain-specific climates (eg, a safety climate, a justice climate and a work motivation climate), research that looks at multiple climates simultaneously is needed.^{21 38} This may be particularly useful for understanding the antecedents of a strong and positive PSC²⁶ and the way in which different process climates interact and, in some cases, conflict (eg, suggestions to penalise staff for hand hygiene failures reflect the tension between ‘no blame’ and ‘accountability’¹⁶ and may bolster the safety climate while damaging the organisational justice climate).

CONCLUSIONS

The Can-PSCS measures the perceptions of direct care providers about the kinds of behaviours that are rewarded and supported with regard to the specific strategic focus of patient safety. The survey has 19 items measuring six dimensions. Eight items are new and reflect different aspects of communication and talking about errors. Eleven items build on the work of others and measure organisational⁴⁷ and supervisory⁴⁸ leadership for safety and unit learning culture.⁵ The Can-PSCS closely reflects the construct definition of PSC. The psychometric properties of the final item set are strong and promising for use with direct care providers in a wide range of care settings.

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Contributors LRG designed data collection tools, methods and study design, analysed the data and drafted the paper. She is guarantor. DT and PGN contributed to overall study design and drafted and revised the paper. JIM and HH monitored data collection and revised the paper.

Competing interests None.

Ethics approval The study was exempt from review by the Human Participants Review Committee in the Office of Research Ethics at York University in Toronto as it exclusively used anonymised secondary data.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Study data may be available from the authors.

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ON-LINE TECHNICAL APPENDIX

“Not another safety culture survey”: Using the Canadian Patient Safety Climate Survey (Can-PSCS) to measure provider perceptions of PSC across health settings

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Canada’s accreditation system and processes for survey data collection

- Canada’s accreditation system has influenced global accreditation standards¹ and is one of the most comprehensive systems internationally² with over 1,100 organizations (located in over 5,500 sites) across the continuum of care participating in Accreditation Canada programs.
- The Accreditation Canada program, its standards, and surveyor training program all have certification from the International Society for Quality in Healthcare (ISQua).
- The accreditation process operates on a four-year cycle. During each cycle organizations distribute the PSC Survey for completion. Organizations use the on-line version of the Can-PSCS accessed through their Accreditation Canada portal.
- Following the recommendations for electronic surveys³, organizations typically send out reminder invitations one to two weeks after the initial invitation inviting staff to complete a survey.
- To allow for anonymous survey completion, no unique identifiers or trackable links are retained.
- Respondents are asked to indicate their job category (i.e., direct care, organization leadership, facility support, administrative support, clinical support) and, if the organization wants their results to be linked back to the relevant work/program area, they are asked to indicate their work area as well (e.g., operating room, long term care, ambulatory care, home care, community outreach, etc.).

Survey Revision Process

The revision process involved four steps:

- (1) A review of the literature related to “staff willingness to talk about errors” identified several recurring themes including: “Safer/better not to speak up”, “Why talk about errors? Nothing gets done”, “Worry about job/promotion loss”, “Concern over damage to professional reputation”, “Fear of social exclusion”, and “Shame/personal failure”;
- (2) For each of these themes, three to four survey items were identified from existing surveys or were newly created;
- (3) Twenty-six items underwent cognitive testing in a series of six group interviews with RNs, RPNs, allied health professionals and healthcare aides in three organizations (one teaching hospital, one community hospital, one nursing home);
- (4) Based on clarity and importance ratings,⁴ variability on each item, as well as item feedback from interviewees, 20 items pertaining to *Communicating and talking about errors* were selected for further validation and were included on the 2010 version of the survey.

Chi-square values in CFA

- The chi-square test, normed chi-square value, comparative fit index (CFI), and the root mean square error of approximation (RMSEA) were used to evaluate model fit in CFA-1, CFA-2, CFA-4 and CFA-6.
- While a non-significant chi-square ($P > 0.05$) is desirable and suggests the model adequately represents the data, it can be difficult to achieve with large samples. The relative / normed chi-square value, which is the chi-square to df ratio, has been suggested as an alternate index that is less dependent on sample size. Good fit is indicated for values less than two⁵ or three.⁶
- CFI takes sample size into account and RMSEA is a residual-based index that takes model complexity (e.g. number of parameters) into account⁷ and is scaled such that a lower value indicates better fit. Models with CFI values greater than 0.95 and RMSEA values less than .06 are indicative of good model fit.⁸ These criteria have been used in previous medical education research.⁹

CFA Results

- CFA-1 tested the seven-factor model of PSC and included all 33 items ($\chi^2 = 4095.45$, $df = 474$, $p = .000$, CFI = 0.926, RMSEA = 0.050, GFI = 0.920, AGFI = 0.906, relative $\chi^2 = 8.64$).
- The model did not demonstrate good fit. The modification indices and examination of the standardized residuals highlighted ten items not well accounted for by the model (a particularly high standardized residual for the covariance between two variables suggests the relationship between those variables is not well accounted for by the model).
- Prior to removing any items, careful consideration was given to the content of the item. From a theoretical standpoint these ten items were felt to have a fairly high degree of redundancy with other items on the survey or were noted to have had ongoing interpretation problems (see Table 1).
- The retrofitted seven-factor, 23-item model produced good model fit in CFA-2 ($\chi^2 = 1134.97$, $df = 209$, $p = .000$, CFI = 0.971, RMSEA = 0.038, GFI = 0.968, AGFI = 0.957, relative $\chi^2 = 5.43$).
- However, the results of CFA-3 did not support invariance across the five care settings (baseline model CFI = 0.944, RMSEA = 0.023), relative $\chi^2 = 5.43$).
- Removal of 4 additional items (OL_22 and the remaining three items in the negatively phrased supervisory leadership dimension) reduced the number of items with standardized residuals >2.58 (the recommended cutoff) from 24 down to 5.
- This further retrofitted six-factor 19-item model produced good model fit in CFA-4 ($\chi^2 = 641.63$, $df = 137$, $p = .000$, CFI = 0.981, RMSEA = 0.035, GFI = 0.978, AGFI = 0.970, relative $\chi^2 = 4.68$).
- CFA-4 was considered optimal in representing the observed data. In order to avoid fitting the model to trivial artefacts of the data further improvements in model fit were not carried out.⁷ and the model was cross-validated in a separate sample in CFA-6 ($\chi^2 = 906.07$, $df = 137$, $p = .000$, CFI = 0.983, RMSEA = 0.033, relative $\chi^2 = 6.61$). The final path diagram is shown in Figure 1.
- The results of CFA-5 support invariance across the five care settings (baseline model CFI = 0.960, RMSEA = 0.021, GFI = 0.936, AGFI = 0.923, relative $\chi^2 = 2.19$).

- The results of the *invariance testing* suggest that the measurement model (e.g. the factor loading parameters) is invariant across the five care settings in our study (model 1 $\Delta\chi^2_{(26)} = 44.94$ $p = .012$, $\Delta CFI = .001$). Given the highly significant chi-square difference in model 2, structural invariance (e.g. factor covariances) of the model remains equivocal despite the acceptable ΔCFI (model 2 $\Delta\chi^2_{(68)} = 177.32$, $p = .000$, $\Delta CFI = .007$). These results, which provide full support for measurement invariance and partial support for structural invariance, indicate that the number of factors and their items (e.g., the meaning of the six PSC factors) is consistent across these different groups of health professionals. The partial support for structural invariance in CFA-5 may reflect real world differences in how the six factors in the model *relate to one another* in the eyes of staff working in these different care settings.^{10,11}

Discriminant Validity Analysis

- The Fornell and Larcker¹² discriminant validity test is suggested as the best approach.¹³ To use this approach we calculated the shared variance (square of the correlation between the two latent constructs (dimensions)) and the average variance extracted (AVE) estimate. The AVE is the average amount of variation that a latent construct is able to explain in the observed variables to which it is theoretically related. It is calculated as the average of the squared factor loadings for all observed variables related to the latent construct.
- Using this technique discriminant validity is supported when the AVEs for each variable exceed the shared variance between two variables. It is calculated for each pair of latent variables in the model.¹⁴
- The calculations are based on the factor loadings and correlations between latent variables shown in figure 1 (CFA-6 – the validation model). The results are shown below. Column A shows the AVE calculations. Table B shows the shared variance.
- Using this approach, discriminant validity is supported for all dimensions with the exception of the Incident follow-up dimension which shares variance with safety leadership commitment at the organization and unit levels (three grey highlight shared variances in Column B exceed AVE for the IFU dimension).

COLUMN A				COLUMN B					
	<u>loading</u>	<u>load sq</u>	<u>AVE</u>	<u>SHARED VARIANCE (sqr corr between 2 vars)</u>					
EOCI	0.77	0.59	0.53						
	0.72	0.52		EOCI					
	0.69	0.48		EOCII	0.50				
EOCII	0.76	0.58	0.52	OL	0.06	0.01			
	0.78	0.61		UL	0.10	0.02	0.35		
	0.61	0.37		SL	0.11	0.03	0.45	0.48	
OL	0.83	0.69	0.55	IFU	0.09	0.01	0.61	0.56	0.66
	0.74	0.55		-----					
	0.76	0.58		EOCI = Enabling Open Communication I: judgment-free environment					
	0.63	0.40		EOCII = Enabling Open Communication II: job repercussions of error					
UL	0.76	0.58	0.67	OL = Organizational (senior) leadership support for safety					
	0.86	0.74		UL = Unit learning culture					
	0.88	0.77		SL = Supervisory leadership for safety					
	0.77	0.59		IFU = Incident follow up					
SL	0.88	0.77	0.68						
	0.76	0.58							
IFU	0.71	0.50	0.47						
	0.68	0.46							
	0.67	0.45							

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Table 1 – Factors Analysis Models

(Items shown in **red text** are the 19 retained items that are recommended for the final measurement model of patient safety climate).

Item# [¥]	Item	Measurement models tested				
		EFA	CFA-1	CFA-2 CFA-3 [†]	CFA-4 CFA-5 [†]	CFA-6
Organizational (senior) leadership support for safety						
OL_1	Senior management has a clear picture of the risk associated with patient care	F1	F1	F1	F1	F1
OL_4	Patient safety decisions are made at the proper level by the most qualified people	F1	F1	F1	F1	F1
OL_5	Senior management provides a climate that promotes patient safety	F1	F1	F1	F1	F1
OL_9	Senior management considers patient safety when program changes are discussed	F1	F1	F1	F1	F1
OL_22	My organization effectively balances the need for patient safety and the need for productivity	F1	F1	F1	*	n/a
OL_2	Good communication flow exists up the chain of command regarding patient safety issues	F1	F1	*	n/a	n/a
OL_23	I work in an environment where patient safety is a high priority	F1	F1	*	n/a	n/a
Incident follow up						
@17	If I report a patient safety incident, someone usually follows up to get more information from me	F1	F7	F7	F6	F6
@20	If I point out a potentially serious patient safety incident, management will look into it	F1	F7	F7	F6	F6
@24	Staff are usually given feedback about changes put into place based on incident reports	F1	F7	F7	F6	F6
@8	There is no point in talking about a patient safety problem because nothing usually gets done about it	F1	F7	*	n/a	n/a
Supervisory leadership for safety						
SL_29	My supervisor/manager says a good word when he/she sees a job done according to established patient safety procedures	F5	F5	F5	F5	F5
SL_30	My supervisor/manager seriously considers staff suggestions for improving patient safety	F5	F5	F5	F5	F5
@27	If I made a serious error my manager would be supportive	F5	F5	*	n/a	n/a
SL_31	Whenever pressure builds up, my supervisor/manager wants us to work faster, even if it means taking shortcuts	F6	F6	F6	*	n/a
SL_32	My supervisor/manager overlooks patient safety problems that happen over and over	F6	F6	F6	*	n/a
LC_37	On this unit, it is difficult to discuss errors	F6	F6	F6	*	n/a
@15	On this unit it is difficult to speak up if you feel there is a problem related to patient safety	F6	F6	*	n/a	n/a
@19	On this unit it is difficult to question the decisions or actions of those with more authority	F6	F6	*	n/a	n/a
Unit learning culture						
LC_33	On this unit, when a serious error occurs, we think about it carefully	F2	F2	F2	F2	F2
LC_35	On this unit, after a serious error has occurred, we think about how it came about and how to prevent the same mistake in the future	F2	F2	F2	F2	F2
LC_36	On this unit, when a serious error occurs, we analyze it thoroughly	F2	F2	F2	F2	F2
LC_38	On this unit, after a serious error has occurred, we think long and hard about how to correct it	F2	F2	F2	F2	F2
LC_34	On this unit, when people make a serious error, they ask others about how they could have prevented it	F2	F2	*	n/a	n/a

Item# [¥]	Item	Measurement models tested				
		EFA	CFA-1	CFA-2 CFA-3 [†]	CFA-4 CFA-5 [†]	CFA-6
Enabling Open Communication I: judgment-free environment						
@11rev	If I make a serious error my manager will think I am incompetent	F3	F3	F3	F3	F3
@16rev	My co-workers will lose respect for me if they know I've made a serious error	F3	F3	F3	F3	F3
@21rev	Others make you feel like a bit of a failure when you make an error	F3	F3	F3	F3	F3
@7	I would feel ashamed if I made a serious error and my co-workers heard about it	F3	F3	*	n/a	n/a
@10	My co-workers will think I am incompetent if they know I've made a serious error	F3	F3	*	n/a	n/a
Enabling Open Communication II: job repercussions of error error						
@18rev	Making a serious error may cause a staff member to lose his/her job.	F4	F4	F4	F4	F4
@25rev	If I make a serious error I worry that I will face disciplinary action from management	F4	F4	F4	F4	F4
@26rev	Making a serious error would limit my career opportunities around here	F4	F4	F4	F4	F4
@3	If I make a serious error I worry that I will face disciplinary action from the college	F4	F4	*	n/a	n/a
SL_13	I am rewarded for taking quick action to identify a serious error	C/LL	*	n/a	n/a	n/a
@6	When an incident is reported, it seems like the person is being written up, not the problem	C/LL	*	n/a	n/a	n/a
@12	On my unit, staff who report a <i>co-worker's</i> error are labelled as 'not being a team player'	C/LL	*	n/a	n/a	n/a
@14	My co-workers would support me if they learned of a serious error I made	C/LL	*	n/a	n/a	n/a
@28	Individuals involved in patient safety incidents have a quick and easy way to report what happened	n/a	n/a	n/a	n/a	n/a

[¥] Number notation indicates item dimension in the MSI 2010: item #s preceded by the @ sign were new in the MSI 2010, OL = Organizational leadership for safety, SL = supervisory leadership for safety, LC = unit learning culture

* Item not well accounted for by previous model and was removed in the current model

n/a not included in the model

† Multiple-group CFA

C/LL Cross loading or low loading