

'Matching Michigan': a 2-year stepped interventional programme to minimise central venous catheter-blood stream infections in intensive care units in England

THE MATCHING MICHIGAN COLLABORATION & WRITING COMMITTEE

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ABSTRACT

Background: Bloodstream infections from central venous catheters (CVC-BSIs) increase morbidity and costs in intensive care units (ICUs). Substantial reductions in CVC-BSI rates have been reported using a combination of technical and non-technical interventions.

Methods: We conducted a 2-year, four-cluster, stepped non-randomised study of technical and non-technical (behavioural) interventions to prevent CVC-BSIs in adult and paediatric ICUs in England. Random-effects Poisson regression modelling was used to compare infection rates. A sample of ICUs participated in data verification.

Results: Of 223 ICUs in England, 215 (196 adult, 19 paediatric) submitted data on 2479 of 2787 possible months and 147 (66%) provided complete data. The exposure rate was 438 887 (404 252 adult and 34 635 paediatric) CVC-patient days. Over 20 months, 1092 CVC-BSIs were reported. Of these, 884 (81%) were ICU acquired. For adult ICUs, the mean CVC-BSI rate decreased over 20 months from 3.7 in the first cluster to 1.48 CVC-BSIs/1000 CVC-patient days ($p<0.0001$) for all clusters combined, and for paediatric ICUs from 5.65 to 2.89 ($p=0.625$). The trend for infection rate reduction did not accelerate following interventions training. CVC utilisation rates remained stable. Pre-ICU infections declined in parallel with ICU-acquired infections. Criterion-referenced case note review showed high agreement between adjudicators (κ 0.706) but wide variation in blood culture sampling rates and CVC utilisation. Generic infection control practices varied widely.

Conclusions: The marked reduction in CVC-BSI rates in English ICUs found in this study is likely part of a wider secular trend for a system-wide improvement in healthcare-associated infections. Opportunities exist for greater harmonisation of infection control practices. Future studies should investigate causal mechanisms and contextual factors influencing the impact of interventions directed at improving patient care.

INTRODUCTION

Blood stream infections (BSIs) from central venous catheters (CVCs) increase morbidity and are estimated to increase mortality risk by 25% and costs of care in the USA by US\$16 550 on average per patient^{1 2} (box 1). A substantial body of evidence suggests that rates of CVC-BSIs are modifiable.³⁻¹³ The Michigan-Keystone project¹³ in 103 intensive care units (ICUs) in the USA reported a major reduction in CVC-BSIs from 7.7 to 1.4 CVC-BSIs per 1000 CVC-patient days using a complex intervention targeting specific technical practices (box 2), combined with support for cultural, behavioural and systemic change.¹⁴ A 3-year follow-up study reported sustained improvement¹⁵ and accelerated the trend for a reduction in case mix-adjusted mortality rates.¹⁶

The NHS Next Stage Review in 2008¹⁷ announced that the National Patient Safety Agency (NPSA) would run a 'national patient safety initiative to tackle central line catheter-related blood stream infections, drawing lessons from a remarkably successful Michigan initiative'. This 2-year programme, known as *Matching Michigan*, ran in England from April 2009 to the end of March 2011. It aimed to minimise CVC-BSI rates in adult and paediatric ICUs in England to at least the mean level (1.4 per 1000 CVC-patient days) seen in the Michigan-Keystone project. It involved three components: technical interventions, which sought to ensure consistent use of evidence-based measures for reducing risks of CVC-BSIs; non-technical interventions, which sought to intervene in culture and systems; and establishment of a standardised national reporting system for CVC-BSIs. All participating sites were



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Box 1 Background

- ▶ Central venous catheters (CVCs) are widely used in patients in intensive care units (ICUs) and other hospital locations for monitoring, drug delivery, and dialysis
- ▶ CVCs increase the risk of blood stream infections (BSIs) which increase mortality and costs of care
- ▶ CVC-BSIs can substantially be prevented when clinicians use best practice guidance during catheter insertion and subsequent maintenance
- ▶ CVC-BSI rates in the NHS in England are unknown
- ▶ This study examined the impact of benchmarking and best practice guidance on minimising CVC-BSIs in English ICUs

invited to take part in two training sessions, the first focused on data collection and the second focused on the technical and non-technical interventions.

Matching Michigan followed, and took place during, heightened media interest and policy initiatives focused on healthcare-associated infections and BSIs (table 1) including the introduction by the Department of Health (DoH) in 2007 of best practice guidance on CVC insertion and management¹⁸ through its multicomponent 'Saving Lives' programme.¹⁹ Other improvement activities relevant to CVC-BSIs included the Health Foundation's Safer Patients Initiative, which ran in two phases from 2004 to 2008,²⁰ and the Patient Safety First campaign, which began in 2008.²¹ However, in the absence of a national reporting system, it was not possible to assess the impact of any of these or any other efforts on CVC-BSI rates.

In this article, we report an analysis of the impact of *Matching Michigan* on rates of reported CVC-BSIs in adult and paediatric ICUs in England.

METHODS**Design**

This was a prospective, interventional, non-randomised, stepped, four-cluster, 2-year quality improvement project with continuous feedback of results to participating ICUs. The National Research Ethics Committee waived the requirement for informed patient consent on the

Box 2 Technical interventions to reduce central venous catheters (CVC)-blood stream infections

- ▶ Hand hygiene, gown, gloves, hat, mask. Eye protection when indicated
- ▶ Skin antiseptics: 2% chlorhexidine gluconate in 70% isopropyl alcohol
- ▶ Maximal sterile precautions including full barrier drapes
- ▶ Site of insertion: avoid the femoral route
- ▶ CVC maintenance: aseptic access technique, daily site review, and remove CVCs at earliest opportunity

basis that the intent was to improve uptake of established best practice care, and no patient-identifiable information would be collected centrally.

Delivery and recruitment

The NPSA established a national project team and an External Reference Group representing professional and governmental organisations. The scientific leads from the original Michigan-Keystone project acted as advisors and provided their improvement tools. Chief executive officers (CEOs) of all acute hospitals in England with ICUs were invited to participate in the programme. Participating hospitals agreed to appoint a local project team comprising an ICU physician, an ICU nurse, a microbiologist or infection control specialist and an executive or non-executive director.

Clusters

ICUs were grouped into four clusters with stepped implementation (table 2). Cluster 1 (North-Eastern Strategic Health Authority) allowed piloting of data collection, training and interventions. Clusters 2 and 3 comprised ICUs in southern and northern England respectively. Cluster 4 consisted of ICUs unable to join the project in the earlier phases.

Definitions

Definitions of CVC, BSI, catheter-related (CRBSI) and catheter-associated BSI (CABSI) and measures of exposure are not straightforward. There is considerable evidence of variability in these definitions or a lack of clarity in their application in prior publications.^{22–25} The definitions we used, which were current in 2009, were from the Hospital In Europe Link for Infection Control through Surveillance programme,²⁶ and the US National Nosocomial Infection Surveillance System from the Centre for Disease Control & Prevention,^{27 28} and were piloted and refined to ensure applicability and ease of understanding for an English context (see electronic supplementary material 1 (ESM 1)). The definitions distinguish between the surveillance definition of CRBSI and the clinical definition of CABSI. The key distinction between these definitions lies in the type of microbiological analysis undertaken to determine whether the source of any individual BSI can be attributed to a CVC.

ICUs were asked to submit data monthly to a specially created web-based system and to identify which definition they used for each infection at the time of reporting. Infections reported as either CRBSI or CABSI were summed to calculate infection rates. Measures of *exposure* were recorded through a daily census in each ICU involving a count of the number of CVCs in situ at a set time each day. ICUs were asked to complete a survey on

Table 1 The context: national infection control initiatives in England before and during *Matching Michigan*

2001	Mandatory reporting to the Health Protection Agency (HPA) of MRSA bacteraemia. //www.hpa.org.uk/web/HPAweb&HPAwebStandard/HPAweb_C/1244763936373
2003	Report of the Chief Medical Officer: Winning ways: guidance to reduce healthcare associated infection in England. //www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4064682
2004	Mandatory reporting of <i>Clostridium difficile</i> infection (HPA website)
2004	Hospital in Europe Link for Infection Control through Surveillance of Nosocomial Infections in ICUs protocol. http://helics.univ-lyon1.fr/helicshome.htm
2004 to 2008	Health Foundation's Safer Patients Initiative (24 hospitals): includes CVC bundle. http://www.health.org.uk/areas-of-work/programmes/safer-patients-initiative/
2005	DoH Saving Lives programme—NHS High Impact Interventions (NHS-HII), modelled on Institute for Healthcare Improvement bundles. http://webarchive.nationalarchives.gov.uk/20120118164404/hcai.dh.gov.uk/whatdoido/high-impact-interventions/
2006	Health Act 2006: Department of Health Code of Practice gives new powers of inspection to the Healthcare Commission. Superseded by the Health & Social Care Act 2008
2008	Health and Social Care Act 2008: required registration with the Care Quality Commission: duty to protect patients against HCAs. New code of practice. http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_081927
2008	Patient Safety First sponsored by National Patient Safety Agency (NPSA), NHS HII, and Health Foundation, includes interventions to reduce CVC-BSIs http://www.patientsafetyfirst.nhs.uk/content.aspx?path=/
2008	<i>High Quality Care For All: NHS Next Stage Review</i> (Darzi report) states that the NPSA will run an 'initiative to tackle central line catheter-related bloodstream infections'. http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_085825
04/2009 to 03/2011	Matching Michigan project. http://www.patientsafetyfirst.nhs.uk/Content.aspx?path=/interventions/relatedprogrammes/matchingmichigan/
2011	Mandatory reporting of MRSA and <i>Escherichia coli</i> bacteraemia (HPA website)

BSI, blood stream infections; CVC, central venous catheter; HPA, Health Protection Agency; ICU, intensive care unit; MRSA, methicillin-resistant *Staphylococcus aureus*.

generic infection control practices (table 3). Infection data could be submitted until 31 March 2011. However, to permit data cleaning before project closure, analysis was limited to the 20-month period from May 2009 to December 2010.

Training and support

Each cluster was invited to attend two training days, the first on the data definitions developed for the programme (ESM 1) and the second some months later on the technical and non-technical interventions (table 4) adapted from the Michigan-Keystone project.¹⁴ Training was held in a centralised location and involved plenary and small group interactive sessions. ICUs started baseline data collection as soon as possible after the first training day.

Teleconference calls and internet-based teaching sessions were offered over the course of the programme. Guidance was provided by telephone and email and, if appropriate, on-site visits by two quality improvement facilitators (ICU nurses). The Patient Safety First website was used to host information on the interventions and on the programme more generally.²¹ The project clinical

leads provided additional ad hoc support and guidance when required.

Data verification

Data limits and rules programmed into the software allowed erroneously entered data to be detected and corrected through the web-based tool. Extreme values were examined by clinical members of the project team, and discussed with local project leads. We also undertook verification of consistency between ICUs in identifying and reporting CVC-BSIs in a purposive sample of ICUs. To conduct the verification, we used on-site criterion-referenced case note review and contemporaneous telephone discussion with a second remote and blinded reviewer. Following institutional approval, each ICU in the verification sample provided a list of all blood cultures (BCs) performed over 3 months, and the case records of 5–20 patients with positive BCs. The number of BCs performed and the number of CVC-patient days were compared with the number of patient days to determine the frequency of sampling for BCs, and the CVC-utilisation ratio. Local adjudication and reporting of each CVC-BSI

Table 2 ICU clusters, duration in project, training day attendance and reliability of submission of infection data

Cluster	Adult ICUs	Paediatric ICUs	Duration in project (months)	Data collection		Interventions		Training day dates and no. (%) of total ICUs attending			Maximum opportunity to submit data and ICU-months submitted			No. ICUs submitting data			No. (%) ICUs with 100% submission		
				Date	No. (%) attended	Date	No. (%) attended	Attended both	Max ICU-months	Adult	Paediatric	All	Adult	Paediatric	All	Adult		Paediatric	All
1	15	4	20	30/3/09	17 (8)	18/09/09	19 (9)	17 (8)	380	350	273	77	19	15	4	13 (68%)			
2	73	7	12	20/10/09	70 (31)	16/03/10	57 (23)	54 (24)	2015	1776	1642	134	150	139	11	103 (66%)			
3	70	5	12	3/11/09	71 (32)	18/03/10	72 (32)	69 (31)											
4	44	5	9	29/4/10	46 (21)	15/07/10	41 (18)	39 (17)	392	353	319	34	46	42	4	31 (63%)			
Total	202	21	53		204 (91)		183 (82)	179 (80.3)	2787	2479	2234	245	215	196	19	147 (66%)			

ICU, intensive care unit.

Table 3 ICU infection control practices (127 respondents of 223 ICUs, response rate 57%)

	No. (%) of respondents
Joint ward round with microbiology/infection control	
Daily weekday round	56 (44%)
Less frequent	54 (43%)
Never	17 (13%)
Chlorhexidine bed baths	
Routine	19 (15%)
If MRSA positive	63 (50%)
Never	27 (21%)
Information not given	18 (14%)
Oral hygiene	
Chlorhexidine mouthwash	25 (20%)
Corsodyl gel	31 (24%)
Corsodyl mouthwash	10 (8%)
Toothpaste	41 (32%)
None of above	2 (2%)
Information not given	18 (14%)
Antimicrobial-coated CVCs	35 (28%)
Antiseptic-coated CVCs	37 (29%)
Bionector valve use	
Yes	86 (68%)
No	26 (20%)
Information not given	15 (12%)
Three-way tap use	
Routine	55 (43%)
Sometimes or rare	34 (27%)
Never	23 (18%)
Information not given	15 (12%)
Chlorhexidine-impregnated patch at CVC insertion site	
Yes	21 (17%)
No	90 (71%)
Information not given	16 (13%)

CVC, central venous catheter; ICU, intensive care unit; MRSA, methicillin-resistant *Staphylococcus aureus*.

was compared with external review. Inter-observer agreement was determined using the κ statistic. ICUs were not asked to provide self-reported data on compliance or implementation of the technical and non-technical interventions because there was no method of assuring data reliability or completeness.

Statistical analysis

Random-effects Poisson regression modelling was used for the primary outcome, based on mean monthly CVC-BSIs related to CVC-patient days, anchored by time since the second training day for each cluster (zero pre-intervention, number of months from month of intervention onwards), and using as covariates the time trend (months from May 2009), teaching status, size of unit, random effect of unit, and cluster. This tests the hypothesis that the intervention (the second training day) will change the slope of an underlying secular trend. To explore whether changes in ICU infection rates were independent of, or potentially part of, a whole-hospital

Table 4 Technical and non-technical interventions

Resource or tool	Content, format
Technical	
Evidence based	
Effective hand hygiene	▶ CVC insertion checklist
2% chlorhexidine skin antiseptic	▶ DoH high-impact interventions
Full-barrier precautions	▶ Technical interventions to prevent CVC-BSIs evidence summary
Avoidance of the femoral route	
Review and prompt removal	▶ Frequently asked questions
Facilitators	
CVC insertion checklist	▶ Printable example
Colocated materials	▶ CVC insertion trolley or pack
Non-technical	
Science of safety	
Guidance and teaching resources on safety	▶ PowerPoint presentation ▶ WebEx sessions
Clinical stories and safety incidents	▶ Videos
Attendance at training sessions	▶ Document
Identifying and learning from incidents	
Identifying hazards, learning from safety incidents	▶ Guidance for identifying and learning from incidents ▶ Assessment of potential patient safety incident
LFD framework/root cause analysis	▶ Web tools (National Patient Safety Agency)
Staff safety assessment	▶ Short survey
Executive–clinician partnerships	
Senior executive/shadowing partnership	▶ Guidance note ▶ Executive Leadership Webex
Safety issues worksheet for executive partnership	▶ 'How to' guide for leadership walk-rounds ▶ Video
Teamwork and communication	
Establishing a unit safety team	▶ Guidance note
Safety 'climate' and teamworking	▶ Guide and framework for observing patient rounds and handovers ▶ Shadowing another professional
Safety culture survey	▶ AHRQ
Daily goals checklist	▶ Three examples of daily goals charts offered

Also available via: <http://www.patientsafetyfirst.nhs.uk/content.aspx?path=/>

AHRQ, Agency for Healthcare Research and Quality; BSI, blood stream infections; CVC, central venous catheter; DoH, Department of Health; LFD, Learning from Defects.

trend, and in the absence of a measure of pre-ICU exposure rates, we compared quarterly pre-ICU with ICU-acquired infection rates expressed as the proportion of all CVC-BSIs which were ICU acquired (ICU-acquired CVC-BSIs divided by the sum of ICU-acquired and pre-ICU CVC-BSIs). A stable ratio over time would suggest ICU trends were part of a wider whole-hospital effect. A χ^2 test for trend was performed to evaluate changes in this ratio. All p values are two sided, with

$p \leq 0.05$ considered statistically significant. Stata (V.9) was used for all analyses.

RESULTS

Participant characteristics

Chief executives of all (139) acute hospitals in England with ICUs agreed that their organisations would

participate. Of these, 32 (23%) were university hospitals. The study sample represented 223 ICUs, of which 176 (79%) were general adult ICUs, 21 (9%) paediatric, and 26 (11.6%) subspecialty. The mean (range) number of ICU beds per unit was 12 (3–43); the mean (range) annual admissions was 685 (166–2423). More than 80% of ICUs attended both training days (table 2), though the size of the team attending training ranged from single individuals (doctor or nurse) to large groups including executive leads.

Most (96.4%, 215) ICUs submitted at least some infection data to *Matching Michigan*. Responses (57%) to the survey of generic infection control practices demonstrated wide variation between ICUs (table 3).

Infection rates

Infection data were submitted on 2479 ICU-months of a maximum 2787, giving a reliability rate of 0.89. Complete data were submitted for every possible month by 147 (66%) ICUs (range between clusters 63–68%) (table 2). The first cluster of 19 ICUs (15 adult, 4 paediatric) provided baseline comparator infection data for subsequent clusters. Clusters 2 and 3 received their training a few weeks apart and their infection data were merged into a single cluster for analysis.

Of 1092 CVC-BSIs reported over 20 months, 884 (81%) were ICU acquired. A majority (66.7%) were diagnosed using the catheter-associated definition (table 5). Paediatric CVC-BSIs accounted for 14.6% of total declared infections, but only 7.89% of CVC-patient days. A total of 438 887 (404 252 adult and 34 635 paediatric) CVC-patient days were reported, giving a mean ICU-acquired infection rate for the entire project of 2.01 CVC-BSIs per 1000 CVC-patient days (adult ICUs 1.88, paediatric ICUs 3.58). Detailed monthly infection and CVC utilisation rates are given in ESM 2.

Changes in infection rates

Aggregated adult and paediatric ICU infection rates diminished with time from a first month rate of 4.4 CVC-BSIs/1000 CVC-patient days for cluster 1, to 1.7 CVC-BSIs in December 2010 (all clusters) (ESM 2 monthly, figure 1A

quarterly). The ratio between ICU-acquired CVC-BSIs and all CVC-BSIs remained stable during the project (test of homogeneity $\chi^2=16.11$, $p=0.6497$; test for trend of odds $\chi^2=0.12$, $p=0.7237$), suggesting a possible common cause for the reduction in infection rates in ICU and non-ICU locations (figure 1B).

Mean adult ICU CVC-BSIs diminished from 3.7 CVC-BSIs/1000 CVC-patient days in the first quarter (inception of cluster 1), to 1.48 in the last quarter (figure 1C), and for paediatric ICUs from 5.65 (four paediatric ICUs) to 2.89 (18 paediatric ICUs) (figure 1E). The progressive reduction in infection rates was statistically highly significant for adult ICUs (Z statistic -4.45 , χ^2 $p<0.0001$), but not paediatric ICUs (Z statistic -0.79 , χ^2 $p=0.625$).

The rate of change in reduction in infection rates did not accelerate following the second training day. For adult ICUs, each successive cluster to join the project had an entry-level infection rate close to the post-intervention level of the preceding cluster (figure 1D) (Z statistic 1.29 and 0.87, χ^2 probability 0.19 and 0.38 for clusters 2 and 3 and cluster 4 respectively). Late engagement (cluster 4) was not associated with poorer performance in any metric. Numbers were too small, and the variation in infection rates too great, to draw secure conclusions from the paediatric data (figure 1F).

Associations

The trend for reduction in infection rates was not associated with hospital type or the number of CVC-patient days for either adult or paediatric ICUs. CVC utilisation ratios could only be determined from December 2009; utilisation rates remained stable (66.3/100 patient days for December 2009–February 2010, 64.6/100 for October–December 2010) (ESM 2 and figure 1A,C,E), despite the continuing fall in pre-ICU and ICU-acquired CVC-BSI rates for this period.

Attendance at both training days was achieved by 179 ICUs (80.3%), 127 of which also provided 100% complete infection data (of 147 ICUs achieving this). Training day attendance was strongly associated with

Table 5 1092 CVC-BSIs by infection classification and location

Infection classification	Pre-ICU acquired			ICU acquired			CVC-patient days	ICU CVC-BSI rate/1000 CVC-patient days
	CVC associated	CVC related	Total pre-ICU	CVC associated	CVC related	Total in ICU		
Adult	114	57	171	503	258	761	404252	1.88
Paediatric	28	9	37	84	39	123	34635	3.55
Total	142	66	208	587	297	884	438887	2.01

BSI, blood stream infection; CVC, central venous catheter; ICU, intensive care unit.

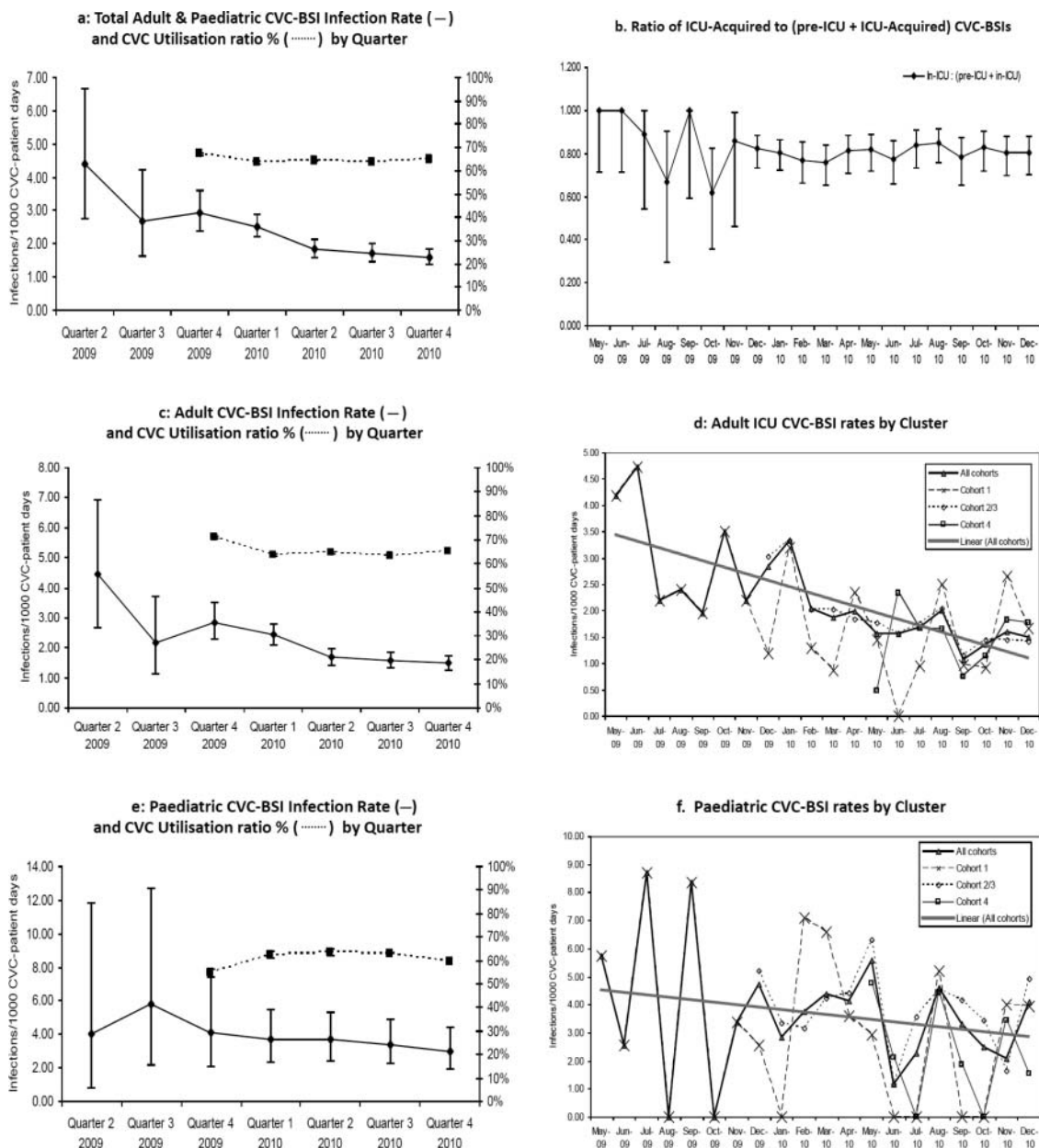


Figure 1 Central venous catheter (CVC)-blood stream infection (BSI) rates. (A) Total adult and paediatric CVC-BSI infection rate (—) and CVC utilisation ratio % (.....) by quarter. (B) Ratio of intensive care unit (ICU)-acquired to (pre-ICU+ICU-acquired) CVC-BSIs. (C) Adult CVC-BSI infection rate (—) and CVC utilisation ratio % (.....) by quarter. (D) Adult ICU CVC-BSI rates by cluster. (E) Paediatric CVC-BSI infection rate (—) and CVC utilisation ratio % (.....) by quarter. (F) Paediatric CVC-BSI rates by cluster.

more reliable data submission (χ^2 10.2187, $p < 0.005$), but not with infection rates (Z statistic -0.29 , $p = 0.773$).

Data verification

Twenty-eight of 45 ICUs responded to an invitation to participate in data verification and 17 actually participated (one paediatric ICU, two university, 14 adult general). Reasons for non-participation included no response to further contacts (10), clinical workload (3), inadequate administrative support (4), absence of timely authority to access medical records (7), and inadequate project team resources (4).

The 17 ICUs participating in the verification sub-study performed 2357 BCs during 17 020 patient-days and 10 601 CVC-patient days, of which 328 (13.9%) BCs were positive (ICU range 5.7–23%). Frequency of sampling and CVC use varied widely: the BC:patient-days ratio was $2357/17\ 020 = 13.8$ BCs/100 patient-days (range 4.8–39.6) and the CVC utilisation ratio was 0.62 (range 0.42–0.78).

Criterion-referenced case note review was conducted in 177 patients with 187 positive BCs; in 54 patients (30.5%) no CVC was in situ within 48 hours of the positive BC, which excluded potential CVC-BSIs. Of the 177 patients with positive BCs, 17 had been declared as

CVC-BSIs and 160 as non-attributable. External adjudication agreed with local adjudication in 167 instances (seven reclassified as attributable, three as non-attributable, overall correct classification 94.3%). The kappa for agreement between local and external adjudicators was 0.706 (SE of kappa=0.088; 95% CI 0.534 to 0.877). The method did not permit determination of CVC infection in the absence of a blood culture.

DISCUSSION

On initial examination, and using the metrics employed by the majority of studies in this area, *Matching Michigan* was a success. The programme demonstrated a 60% reduction in reported CVC-BSIs in adult ICUs in England, despite starting with less headroom for improvement than the original Keystone-Michigan project¹³ (baseline 4.4 CVC-BSIs per 1000 patient catheter days in the first *Matching Michigan* cluster compared with 7.7 at baseline in Michigan). For paediatric ICUs the 48% reduction did not achieve statistical significance; the difficulty of reducing CVC-BSIs in paediatric intensive care is well recognised.^{29–32} A conventional narrative might run thus: training in technical and non-technical interventions to improve patient safety combined with measurement and performance feedback stimulated a change in behaviour which resulted in a reduction in BSIs from CVCs.

Closer examination of the data reveals a more complex picture requiring a nuanced interpretation. Attributing the impressive reduction in adult ICU CVC-BSIs rates solely to programme participation is complicated by two novel insights. First, each successive cluster joined the project on the trend line for the post-intervention level of the preceding cluster, thus indicating a strong secular trend. Second, pre-ICU infections (which were not targeted by *Matching Michigan*) diminished in line with ICU-acquired infections, indicating that the secular trend was not limited to the ICU. These findings suggest the possibility that the reduction in infection rates could be attributable as much to concurrent and preceding improvement efforts and to the consciousness-raising effect of a nationwide programme as to any specific component of the *Matching Michigan* programme itself.

This study is an example of the challenges of conducting field evaluations of complex interventions to improve care in real time in rapidly moving fields. It illustrates in particular the challenges of identifying causal mechanisms during ‘rising tides’ when multiple policy pressures and the emergence of professional and scientific consensus combine to produce improvements across the board.^{33–35} Falling rates of CVC-BSIs have been reported

in a number of studies worldwide^{36–37} and our study was undertaken during a period of intense national activity in England directed towards reducing hospital-acquired infections, including methicillin-resistant *Staphylococcus aureus* BSI rates (which fell by 22% between April 2009 and March 2011, and by 50% since 2008).³⁸ For example, many hospitals had already introduced 2% alcoholic chlorhexidine skin disinfectant, full-barrier drapes were becoming more widely available, and alcohol hand rub had become universally available.

Our stepped before and after design reduces the risk of bias,³⁹ and the analysis therefore emphasises the need for caution in attributing the reduction in infection rates to specific elements in the programme. Lack of a specific causative link between complex behavioural interventions and improved outcomes has been reported for end-of-life care,⁴⁰ stroke care,³³ coronary balloon angioplasty³⁴ and multifaceted safety programmes,³⁵ while others have reported strong secular trends for improvement in CVC-BSI rates in conjunction with national reporting but in the absence of specific targeted interventions.³⁶ Financial penalties as a further stimulus for improvement do not appear to have had an additional impact on the adoption of self-reported CVC-BSI prevention measures in the USA.⁴¹

Study designs involving randomisation, which could help to determine quality improvement programme effects more precisely, are challenged by ethical considerations when best practice is already well established, and practical considerations of isolating intervention from controls. Cluster-randomised designs are particularly important for interventions involving behavioural change,^{40–42} since the component elements may be rooted in specific cultures, locations and periods, and require testing in the same way as a pharmaceutical intervention in a new population.^{43–44}

A design such as that used in our study—involving clusters joining in a pre-determined sequence, with each successive cluster acting as a de facto control for the preceding cluster—although not formally randomised is one of the more robust approaches that can feasibly be deployed. However, it is subject to a number of threats to internal validity. The ‘waiting’ clusters were exposed to diffusion of treatment effects, as the interventions were widely publicised on the *Patient Safety First* website from the beginning of the study, and the original Michigan-Keystone project had received widespread attention. ICUs in ‘waiting’ clusters may also have engaged in ‘compensatory rivalry’,⁴⁵ and increased their efforts to reduce CVC-BSIs while waiting to join the programme. It is also possible that the reduction in reported rates of infections may to some extent have been an artefact of reporting behaviours, since data were collected and reported by ICUs themselves and

may have been influenced by perceptions of external scrutiny and performance management.⁴⁶ How far any trend in reported infection rates may reflect changes in reporting behaviour over time is not easy to establish. A further limitation of our study was the absence of measures of adoption of the interventions and compliance with best practice. Several studies have reported an association between higher compliance and lower infection rates,^{47–49} but data completeness and the methods chosen for compliance monitoring are rarely described in detail, and the literature on hand hygiene demonstrates poor correlation between self-reported and observed compliance.^{50–52}

The data verification sub-study provides some reassurance of validity in relation to reporting behaviours, but also demonstrates considerable variability in local practices in relation to CVC use and intensity of sampling blood for culture. Variability in surveillance techniques is well recognised and substantially alters reported infection rates.²⁵ The survey of generic infection control practices (not compliance with the technical interventions) demonstrates wide variation, including the level of interaction between intensive care physicians and microbiologists. These factors make direct comparison between ICUs challenging. Harmonisation of practice would reduce the risk of confounding, and could bring additional benefits in reducing nosocomial infection rates.

Despite the difficulties of identifying specific programme effects, it is unlikely that the contribution of large-scale programmes such as *Matching Michigan* to the ‘rising tide’ is trivial. Such programmes may have a particular role in raising awareness, increasing the intensity of focus and stimulating managerial support for professional activities. Feedback of infection rates may have promoted more reliable provision of and adherence to the well known technical aspects of infection prevention for CVCs. Understanding more precisely how such programmes work remains an important task, since such understanding is likely to avoid inappropriate and ineffective interventions, optimise delivery and improve effectiveness.⁵³ This is especially important when elements of programme design vary from the original: *Matching Michigan* was not exactly the same as the original Michigan-Keystone project. Differences included amendments to some of the programme materials to ensure contextual relevance; definitions of CVC-BSIs were specified more precisely; and the programme was directed by a government agency with advisory clinician input, not as a clinician-led collaborative. Contextual variability was also evident: *Matching Michigan* was, unlike Michigan-Keystone, implemented following extensive prior national efforts to improve practice, in a national health system in which intensive care specialists direct infection management with input from

microbiology, as opposed to this being the domain of independent infection control practitioners.

It is encouraging that reported rates of pre-ICU and ICU-acquired CVC-BSIs showed reductions over the course of *Matching Michigan*. Reduced rates of infection will deliver health gains for patients and benefits for health systems. The apparent trend for a reduction in CVC-BSIs acquired before ICU admission should not encourage complacency, however,⁵⁴ since in the absence of a denominator, conclusions cannot be drawn about rates of infection and quality of care. CVC use in non-ICU locations requires the same intensity of focus as it has received in the ICU.^{55–60} A national clinician-directed system for sustained continuous CVC-BSI benchmarking, such as those in Scotland⁶¹ and Wales,⁶² would ensure continued attention to CVC-BSIs, and could provide a platform for monitoring other healthcare-associated infections with linkage to patient outcomes.

This study adds to the science of improvement by using a quasi-experimental design that reveals the significance of underlying secular trends but does not rule out the possibility that the programme itself was implicated in that trend. Future studies should use robust mixed-methods research methodologies to clarify causal mechanisms underpinning quality improvement interventions, and to identify those most likely to promote more reliable delivery of best practice throughout the healthcare system, as well as promoting clinician ownership.⁶³ To this end, a separate, independent ethnographic study of culture and behaviour in relation to CVC-BSIs in England was conducted at the same time as *Matching Michigan* and may provide insights that will promote such understanding.

Contributors All collaborators are listed in the appendix. All authors contributed to the design and execution of the study, and all contributed to the interpretation of results.

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Ethics approval The National Research Ethics Committee waived the requirement for informed patient consent on the basis that the intent was to improve uptake of established best practice care, and no patient-identifiable information would be collected centrally.

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Infirmary: Rafat Saad, Julie Barrett, Rob Nelson, Gill Harris. **Royal Berkshire Hospital:** Chris Danbury, Anne Savage, Linda Hosie, Nilangi Virgincar, Nigel Davies. **Royal Blackburn Hospital:** David Watson, Jeanette Ryde, Ade Rotowa, Catharina Schram. **Royal Bolton Hospital:** Ajmal Eusuf, Mel McNulty, Rizwan Khan, Maria Sinfield. **Royal Bournemouth General Hospital:** Martin Schuster-Bruce, Steve Morris, Bill Gransden, Tony Virgincar. **Royal Brompton Hospital:** Eva Zizkova. **Royal Cornwall Hospital, Treliske:** Cate Powell, Louise Dickinson, Joe Teape, Carol Richards. **Royal Derby Hospital:** Naresh Nandwani, Gill Ogden, Cathy Bratt, Em Wilkinson-Brice. **Royal Hallamshire Hospital:** Arthur Goldsmith, Jo Murray, Victoria West, Sue Dailly, Paula Shobbrook. **Royal Lancaster Infirmary:** David Highley, Lynne Wyre, Kim Wilson, Jackie Holt. **Royal Manchester Children's Hospital:** Peter-Marc Forune, Anne Stanton, Katie McCall. **Royal Preston Hospital:** Craig Spencer, Denise Brooks, Sharon Hallam, Tom Owen, David Orr, Claire Horsfield, Sue Reed, Ian Donaldson. **Royal Shrewsbury Hospital:** Alastair Windsor, Debbie Millington, Patricia O'Neill. **Royal Surrey Country Hospital:** Mike Carraretto, Margaret Eaton, Christopher Tibbs, Susan Lewis. **Royal Sussex County Hospital:** Andrew Hill, Marco Maccario, Julie Lloyd, Jackie Portsmouth, Karen Wright, Matthew Fletcher. **Royal Victoria Infirmary:** Ian Clement, Lisa Squires, Manjusha Narayanan. **Russells Hall Hospital:** Michael Reay, Sarah Raybould, Denise McMahon. **Salford Royal Hospital:** Murad Ghrew, Angela Neumann, Samantha Westwell, Ann Trail, Steve Waldek. **Sandwell Hospital:** Jana Bellin. **Scarborough General Hospital:** Jo Jaidev, Sylvia Wrigglesworth, Sue Marquis, Mark Andrews. **Scunthorpe General Hospital:** Jerry Thomas. **Sheffield Children's Hospital:** Jeff Perring, Liz Murch, Derek Burke. **South Tyneside District Hospital:** Christopher Muench, Lorraine Spence, Carole Clark, David Shilton. **Southampton General Hospital:** Mike Grocott, Iain Macintosh, Laura Armstrong, Melanie Griffiths, Julie Brooks, Sarah Jeremiah, Judy Gillow. **Southend Hospital:** Blanca Boira, Teresa Sage, Stephen Barret, John Gilham. **Southmead Hospital:** Jasmeet Soar, Deborah Munro, Mariann Charlton. **Southport & Formby District General Hospital:** Sue Pieri-Davies, Michael Vangikar, Joyce Jordan, Martin Kiernan, Liz Yates. **St George's Hospital, Tooting:** Andrew Rhodes, Linda Murdoch, Deborah Dawson, Carol Kennelly, Peter Riley, Mike Bailey. **St Helier Hospital:** Larry Mulleague, Emma Conroy, Caroline Betts, Richard Varhegyi, Steve Hyer. **St James's University Hospital:** Stuart Murdoch, Karen Ledgard, Innes Reid, Juliette Cosgrove. **St Mary's Hospital:** Mehringise Cooper, Sonia Broadby. **Stafford Hospital:** John Hawkins, Christine Dooley, Debra Adams. **Stepping Hill Hospital:** Karen Szarfenberg, Sengottiyana

Chandrasekaran. **Sunderland Hospital:** Alistair I Roy, Gillian Ferguson, Julie McHugh, Les Boobis. **The Christie:** Phil Haji-Michael, Angela Hayes, Oonagh McGugan. **The Great Western Hospital:** Chris Beeby, Liz Jaffray, Hilary Munube, Ruth McCarthy. **The Ipswich Hospital:** Andy Kong, Angela Statham, Tina Johnson, Peter Donaldson. **The James Cook University Hospital:** Fiona Hampton, Nicola Cree, Maria Jones, Chris Harper, Clare White, David McCaffrey, Mike Bramble, Tricia Hart. **The Princess Royal Hospital:** David Christmas, Stephanie Young, Carol Woods, Debbie Snooke, Steve Evans. **The Queen Elizabeth Hospital:** John Gibson, Katherine Wong, Lynne Liebowitz, Geoff Hunnam. **The Royal London Hospital:** Marie Healey, Suzanne Daniels, Michael Millar, Charles Gutteridge. **The Royal Marsden Hospital:** Dr Timothy Wigmore, Mr Rob Loveland, Ms Jennifer Watson, Ms Rebecca Martirani, Shelley Dolan. **The Royal Oldham Hospital:** Chithambaram Veerappan, Oliver Robinson. **The Whittington Hospital:** Andrew Badacsonyi, Breege Gilbride, Julie Andrews, Deborah Wheeler, Siobhan Harrington. **Trafford General Hospital:** John Barnes, Elaine Deay, Wayne Goddard, Shirley Smith. **University College Hospital:** Viki Mitchell, Deborah Smyth, Mary Azarcon, Geoff Bellingan. **University Hospital, Coventry:** Andrew Phillips, Julius Asante-Siaw, Elaine Clarke, Karen Bond, Tracey Fenwick, Kate Prevc, Ann-Marie Cannaby. **University Hospital Aintree:** Christopher Grant, Sharon Smith, Rick Catlin, Gary Francis. **University Hospital Lewisham:** Marthin Mostert, Sally Rowe, Debbie

Flaxman, Claire Champion. **University Hospital of Hartlepool:** Sue Smith, Julie Olsen, Vijay Gupta, Louise Legg. **University Hospital of North Tees:** Vijay Gupta, Andrea Mockler, Julie Olsen, Sue Smith. **Walton Centre:** Chris Whitehead, Carole Scott, Phil Kane, Karen Dawber. **Wansbeck Hospital:** John Laurenson, Elizabeth Carr, Tamsin Oswald, David Evans. **Warrington Hospital:** Jerome McCann, Ellis Clarke, Andrew Sargent, Kathryn Holbourn. **Warwick Hospital:** Ian Purcell, Christine Georgeu, Steve Mather. **Watford General Hospital:** Thomas Stambach, Sarah Laferby, Frances Stratford, Russell Harrison. **West Cumberland Hospital:** Fiona Graham, Jackie Fox, Clive Graham. **West Middlesex University Hospital:** Amandeep Gupta, Jose Tomas, Elaine Danns. **West Suffolk Hospital:** Michael Palmer, James Whatling, Sue Partridge, Nichole Day. **Wexham Park Hospital:** Helen Challand, Lucy Everett. **Whiston Hospital:** Francis Andrews, Paul Jeanrenaud, Kim Sims, Josephine Keward, Mike Lynch. **Worcestershire Royal Hospital:** Gareth Sellors, Shelly Goodyear, Jane Stockley, Steve Graystone. **Worthing Hospital:** Ryck Albertyn, Janice Bates, Phillip Barnes. **Wycombe and Stoke Mandeville Hospital:** Richard Bunsell, Ann Ashworth, Jean O'Driscoll, Graziano Luzzi. **Wythenshawe Hospital:** Andrew Bentley, Gary Brear, Jane Clayton, Hayley Hardiman, AnneMarie Aziz, Meryl Graves, Amanda Bailey. **Yeovil District Hospital:** Jeremy Reid, Mark Robinson, Rachael Grey, Susan Jones. **York Hospital:** Rinus Pretorius, Anne Knaggs, Christine Cruise, Libby McManus.

ESM Table 2. Monthly Infection and CVC Utilisation metrics For Adult (AICU) and Paediatric (PICU) ICUs

Year	2009									2010											TOTAL or MEAN	
Calendar Month	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec		
Cluster	1									+ 2 & 3				+ 4								
N° ICUs submitting infection data	AICUs	14	14	15	12	14	15	15	130	140	139	145	147	169	176	180	184	184	185	180	176	
	PICUs	4	4	4	4	4	4	4	14	14	14	16	15	19	18	18	18	19	18	17	17	
	Total	18	18	19	16	18	19	19	144	154	153	161	162	188	194	198	202	203	203	197	193	
N° pre-ICU-infections (CABSI + CRBSI)	AICUs	0	0	0	1	0	3	0	10	19	16	18	12	13	13	9	10	9	11	12	15	171
	PICUs	0	0	1	1	0	2	1	8	5	1	2	2	1	2	2	3	3	0	2	1	37
	Total	0	0	1	2	0	5	1	18	24	17	20	14	14	15	11	13	12	11	14	16	208
N° ICU-acquired infections (CABSI+CRBSI)	AICUs	9	10	5	4	4	8	5	73	93	50	51	52	47	48	52	62	34	47	53	54	761
	PICUs	2	1	3	0	3	0	1	10	6	7	11	9	16	3	6	12	9	7	5	12	123
	Total	11	11	8	4	7	8	6	83	99	57	62	61	63	51	58	74	43	54	58	66	884
% ICUs reporting zero infections (CABSI+CRBSI)	AICUs	64%	64%	80%	83%	79%	73%	67%	66%	60%	73%	75%	76%	78%	77%	81%	74%	83%	80%	79%	77%	
	PICUs	50%	75%	50%	100%	75%	100%	75%	57%	71%	71%	63%	53%	58%	89%	83%	72%	74%	67%	76%	59%	
	Total	61%	67%	74%	88%	78%	79%	68%	65%	61%	73%	74%	74%	76%	78%	81%	74%	82%	79%	79%	75%	
N° CVC-patient days	AICUs	2,150	2,117	2,284	1,673	2,048	2,282	2,279	25,671	27,967	24,527	27,176	26,166	30,151	30,527	30,917	30,985	31,777	34,476	33,105	35,974	404 252
	PICUs	349	393	345	326	359	261	295	2,117	2,118	1,871	2,508	2,172	2,870	2,558	2,645	2,604	2,726	2,799	2,384	2,935	34 635
	Total	2,499	2,510	2,629	1,999	2,407	2,543	2,574	27,788	30,085	26,398	29,684	28,338	33,021	33,085	33,562	33,589	34,503	37,275	35,489	38,909	438,887
N° patient days, & % ICUs submitting this data	AICUs	-	-	-	-	-	-	-	3,202	10,437	8,850	13,703	19,258	36,087	47,620	48,401	48,402	49,169	52,107	52,258	53,647	443,141
	PICUs	-	-	-	-	-	-	-	1,040	1,069	1,217	1,347	1,160	2,662	3,782	4,314	4,063	4,311	4,480	4,139	5,029	38,613
	Total	-	-	-	-	-	-	-	4,242	11,506	10,067	15,050	20,418	38,749	51,402	52,715	52,465	53,480	56,587	56,397	58,676	481 754
% ICUs submitting	0%	0%	0%	0%	0%	0%	0%	10%	25%	24%	32%	46%	76%	99%	100%	98%	98%	98%	100%	99%		
CVC-BSI rate: (CVC-BSIs / CVC-patient days X 1000)	AICUs	4.19	4.72	2.19	2.39	1.95	3.51	2.19	2.84	3.33	2.04	1.88	1.99	1.56	1.57	1.68	2.00	1.07	1.36	1.60	1.5	1.88
	PICUs	5.73	2.54	8.7	0	8.36	0	3.39	4.72	2.83	3.74	4.39	4.14	5.57	1.17	2.27	4.61	3.3	2.5	2.1	4.09	3.58
	Total	4.40	4.38	3.04	2.00	2.91	3.15	2.33	2.99	3.29	2.16	2.09	2.15	1.91	1.54	1.73	2.20	1.25	1.45	1.63	1.70	2.01
CVC utilisation ratio: (CVC-patient days / Patient days) x 100	AICUs	-	-	-	-	-	-	-	71%	65%	63%	63%	65%	65%	64%	64%	63%	63%	65%	63%	66%	
	% AICUs submitting	-	-	-	-	-	-	-	9%	24%	23%	32%	48%	78%	99%	100%	98%	98%	98%	100%	99%	
	PICUs	-	-	-	-	-	-	-	55%	63%	67%	58%	54%	62%	68%	61%	64%	63%	62%	58%	58%	
	% PICUs submitting	-	-	-	-	-	-	-	27%	32%	44%	31%	29%	57%	100%	100%	100%	100%	100%	100%	100%	
	Total CVC-U-Ratio	-	-	-	-	-	-	-	67%	65%	64%	62%	64%	65%	64%	63%	63%	63%	65%	63%	66%	

AICU = Adult Intensive Care Unit. PICU = Paediatric Intensive Care Unit. CVC = central venous catheter. BSI = blood stream infection; U-ratio = utilisation ratio

ESM 1: DEFINITIONS FOR BLOOD STREAM INFECTION, CATHETER-LINKED INFECTION, AND CENTRAL VENOUS CATHETER

LABORATORY-CONFIRMED BLOOD STREAM INFECTION *must meet at least one of the two criteria below*

Criterion 1

- Patient has one or more recognized pathogens cultured from ≥ 1 blood culture

Criterion 2

If the microorganism is a common skin organism (ie, diphtheroids [*Corynebacterium* spp], *Bacillus* [not *B anthracis*] spp, *Propionibacterium* spp, coagulase-negative staphylococci [(CNS), excludes sensitive *Staph aureus*], viridans group streptococci, *Aerococcus* spp, *Micrococcus* spp), *then...*

- It must have been cultured from 2 or more blood cultures drawn on separate occasions, or from one blood culture in a patient in whom antimicrobial therapy has been started, *and*
- Patient has ≥ 1 of the following: fever of $>38^{\circ}\text{C}$, chills, or hypotension

CATHETER-ASSOCIATED BLOOD STREAM INFECTION (CABSI)

Criterion

- One of the criteria for BSI above, and
- The presence of one or more central venous catheters at the time of the blood culture, or up to 48 hrs following removal of the CVC and
- The signs & symptoms & positive laboratory results including pathogen cultured from the blood are not primarily related to an infection at another site.

CATHETER-RELATED BLOOD STREAM INFECTION (CRBSI)

Criterion

- One of the criteria for BSI above, and
- The presence of one or more central venous catheters at the time of the blood culture, or up to 48 hrs following removal of the CVC, and
- One of the following:
 - i. a positive semiquantitative (>15 CFU/catheter segment) or quantitative ($>10^3$ CFU /ml or $>10^3$ CFU/catheter segment) culture whereby the same organism (species and antibiogram) is isolated from blood sampled from the CVC or from the catheter tip, and peripheral blood;
 - ii. simultaneous quantitative blood cultures with a $>5:1$ ratio CVC versus peripheral.

CATHETER-SUSPECTED BLOOD STREAM INFECTION

Criterion

- NEGATIVE blood cultures in the presence of parenteral antimicrobials, and
- Clinical evidence of a systemic response to infection, and
- Clinical condition improves following removal of CVC, and
- No other likely source of infection

CENTRAL VENOUS CATHETER (CVC)

Criterion

- An intravascular device terminating in one of the great veins or pulmonary artery, including those in, or near, the right atrium, and those inserted via a femoral vein.
- Includes PICCs, haemodialysis catheters, parenteral nutrition catheters

ESM 1 (Cont): DEFINITIONS**PAEDIATRIC SYSTEMIC INFLAMMATORY RESPONSE SYNDROME:****The presence of at least 2 of the following four criteria, one of which must be abnormal temperature or leukocyte count:**

- Core temperature of >38.5 or <36 degrees Celsius;
- Tachycardia defined as a mean heart rate >2SD above normal for age in the absence of external stimulus, chronotropic drugs or painful stimuli OR for children <1 year old: bradycardia defined as a mean heart rate <10TH percentile for age in the absence of external vagal stimuli, beta blocker drugs or congenital heart disease ;
- Mean respiratory rate >2SD above normal for age or mechanical ventilation for an acute process not related to underlying neuromuscular disease or receipt of general anaesthesia
- Leukocyte count elevated or depressed for age (not secondary to chemotherapy induced leukopenia) or >10% immature neutrophils

AGE SPECIFIC VITAL SIGNS AND LABORATORY VARIABLES (LOWER VALUES FOR HEART RATE, LEUKOCYTE COUNT AND SYSTOLIC BP ARE FOR THE 5TH PERCENTILE, AND UPPER VALUES FOR HEART RATE, RESPIRATION RATE OR LEUKOCYTE COUNT FOR THE 95TH PERCENTILE)

	Heart Rate		Respiratory rate	Leukocyte count	Systolic BP
Age group	Tachycardia	Bradycardia	Breaths/min	Leukocytes x 10 ³ /mm	mm Hg
0 days-1 week	>180	<100	>50	>34	<65
1 week to 1 month	>180	<100	>40	>19.5 or <5	<75
1 month to 1 year	>180	<90	>34	>17.5 or <5	<100
2-5 years	>140	NA	>22	>15.5 or <5	<94
6-12 years	>130	NA	>18	>13.5 or <4.5	<105
13 - <18 years	>110	NA	>14	>11 or <4.5	<117

*Goldstein B et al. International pediatric sepsis consensus conference: Definitions for sepsis and organ dysfunction in pediatrics. Pediatric Critical Care Medicine 2005;6:2-8***CLASSIFICATION OF CVC-BSIs AS PRE-ICU OR ICU-ACQUIRED**

- **Pre-ICU:** diagnosed within 48hrs of ICU admission
- **ICU-acquired:** diagnosed after 48hrs of ICU admission and within 48 hrs of ICU discharge

MEASURES OF EXPOSURE: Recorded at a daily census in each ICU, and summed over one month

- **CVC-patient days:** the number of patients with one or more CVCs at the census time-point, and summed over one month
- **Total CVC days:** the total number of CVCs in use at the census time point, and summed over one month
- **Total patient days:** the number of patients in the ICU at the census time-point, and summed over one month
- **CVC-BSI rate:** the sum of CRBSIS and CABSIs expressed per 1000 CVC-patient days.
- **CVC utilization ratio:** CVC-patient days per 100 patient days

ESM Table 2. Monthly Infection and CVC Utilisation metrics For Adult (AICU) and Paediatric (PICU) ICUs

Year	2009									2010											TOTAL or MEAN	
Calendar Month	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec		
Cluster	1									+ 2 & 3				+ 4								
N° ICUs submitting infection data	AICUs	14	14	15	12	14	15	15	130	140	139	145	147	169	176	180	184	184	185	180	176	
	PICUs	4	4	4	4	4	4	4	14	14	14	16	15	19	18	18	18	19	18	17	17	
	Total	18	18	19	16	18	19	19	144	154	153	161	162	188	194	198	202	203	203	197	193	
N° pre-ICU-infections (CABSI + CRBSI)	AICUs	0	0	0	1	0	3	0	10	19	16	18	12	13	13	9	10	9	11	12	15	171
	PICUs	0	0	1	1	0	2	1	8	5	1	2	2	1	2	2	3	3	0	2	1	37
	Total	0	0	1	2	0	5	1	18	24	17	20	14	14	15	11	13	12	11	14	16	208
N° ICU-acquired infections (CABSI+CRBSI)	AICUs	9	10	5	4	4	8	5	73	93	50	51	52	47	48	52	62	34	47	53	54	761
	PICUs	2	1	3	0	3	0	1	10	6	7	11	9	16	3	6	12	9	7	5	12	123
	Total	11	11	8	4	7	8	6	83	99	57	62	61	63	51	58	74	43	54	58	66	884
% ICUs reporting zero infections (CABSI+CRBSI)	AICUs	64%	64%	80%	83%	79%	73%	67%	66%	60%	73%	75%	76%	78%	77%	81%	74%	83%	80%	79%	77%	
	PICUs	50%	75%	50%	100%	75%	100%	75%	57%	71%	71%	63%	53%	58%	89%	83%	72%	74%	67%	76%	59%	
	Total	61%	67%	74%	88%	78%	79%	68%	65%	61%	73%	74%	74%	76%	78%	81%	74%	82%	79%	79%	75%	
N° CVC-patient days	AICUs	2,150	2,117	2,284	1,673	2,048	2,282	2,279	25,671	27,967	24,527	27,176	26,166	30,151	30,527	30,917	30,985	31,777	34,476	33,105	35,974	404 252
	PICUs	349	393	345	326	359	261	295	2,117	2,118	1,871	2,508	2,172	2,870	2,558	2,645	2,604	2,726	2,799	2,384	2,935	34 635
	Total	2,499	2,510	2,629	1,999	2,407	2,543	2,574	27,788	30,085	26,398	29,684	28,338	33,021	33,085	33,562	33,589	34,503	37,275	35,489	38,909	438,887
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	PICUs	-	-	-	-	-	-	-	1,040	1,069	1,217	1,347	1,160	2,662	3,782	4,314	4,063	4,311	4,480	4,139	5,029	38,613
	Total	-	-	-	-	-	-	-	4,242	11,506	10,067	15,050	20,418	38,749	51,402	52,715	52,465	53,480	56,587	56,397	58,676	481 754
% ICUs submitting	0%	0%	0%	0%	0%	0%	0%	10%	25%	24%	32%	46%	76%	99%	100%	98%	98%	98%	100%	99%		
CVC-BSI rate: (CVC-BSIs / CVC-patient days X 1000)	AICUs	4.19	4.72	2.19	2.39	1.95	3.51	2.19	2.84	3.33	2.04	1.88	1.99	1.56	1.57	1.68	2.00	1.07	1.36	1.60	1.5	1.88
	PICUs	5.73	2.54	8.7	0	8.36	0	3.39	4.72	2.83	3.74	4.39	4.14	5.57	1.17	2.27	4.61	3.3	2.5	2.1	4.09	3.58
	Total	4.40	4.38	3.04	2.00	2.91	3.15	2.33	2.99	3.29	2.16	2.09	2.15	1.91	1.54	1.73	2.20	1.25	1.45	1.63	1.70	2.01
CVC utilisation ratio: (CVC-patient days / Patient days) x 100	AICUs	-	-	-	-	-	-	-	71%	65%	63%	63%	65%	65%	64%	64%	63%	63%	65%	63%	66%	
	% AICUs submitting	-	-	-	-	-	-	-	9%	24%	23%	32%	48%	78%	99%	100%	98%	98%	98%	100%	99%	
	PICUs	-	-	-	-	-	-	-	55%	63%	67%	58%	54%	62%	68%	61%	64%	63%	62%	58%	58%	
	% PICUs submitting	-	-	-	-	-	-	-	27%	32%	44%	31%	29%	57%	100%	100%	100%	100%	100%	100%	100%	
	Total CVC-U-Ratio	-	-	-	-	-	-	-	67%	65%	64%	62%	64%	65%	64%	63%	63%	63%	65%	63%	66%	

AICU = Adult Intensive Care Unit. PICU = Paediatric Intensive Care Unit. CVC = central venous catheter. BSI = blood stream infection; U-ratio = utilisation ratio

ESM 1: DEFINITIONS FOR BLOOD STREAM INFECTION, CATHETER-LINKED INFECTION, AND CENTRAL VENOUS CATHETER

LABORATORY-CONFIRMED BLOOD STREAM INFECTION *must meet at least one of the two criteria below*

- Criterion 1
- Patient has one or more recognized pathogens cultured from ≥ 1 blood culture

- Criterion 2
- If the microorganism is a common skin organism (ie, diphtheroids [*Corynebacterium* spp], *Bacillus* [not *B anthracis*] spp, *Propionibacterium* spp, coagulase-negative staphylococci [(CNS), excludes sensitive *Staph aureus*], viridans group streptococci, *Aerococcus* spp, *Micrococcus* spp), *then...*
- It must have been cultured from 2 or more blood cultures drawn on separate occasions, or from one blood culture in a patient in whom antimicrobial therapy has been started, *and*
 - Patient has ≥ 1 of the following: fever of $>38^{\circ}\text{C}$, chills, or hypotension

CATHETER-ASSOCIATED BLOOD STREAM INFECTION (CABSI)

- Criterion
- One of the criteria for BSI above, and
 - The presence of one or more central venous catheters at the time of the blood culture, or up to 48 hrs following removal of the CVC and
 - The signs & symptoms & positive laboratory results including pathogen cultured from the blood are not primarily related to an infection at another site.

CATHETER-RELATED BLOOD STREAM INFECTION (CRBSI)

- Criterion
- One of the criteria for BSI above, and
 - The presence of one or more central venous catheters at the time of the blood culture, or up to 48 hrs following removal of the CVC, and
 - One of the following:
 - i. a positive semiquantitative (>15 CFU/catheter segment) or quantitative ($>10^3$ CFU /ml or $>10^3$ CFU/catheter segment) culture whereby the same organism (species and antibiogram) is isolated from blood sampled from the CVC or from the catheter tip, and peripheral blood;
 - ii. simultaneous quantitative blood cultures with a $>5:1$ ratio CVC versus peripheral.

CATHETER-SUSPECTED BLOOD STREAM INFECTION

- Criterion
- NEGATIVE blood cultures in the presence of parenteral antimicrobials, and
 - Clinical evidence of a systemic response to infection, and
 - Clinical condition improves following removal of CVC, and
 - No other likely source of infection

CENTRAL VENOUS CATHETER (CVC)

- Criterion
- An intravascular device terminating in one of the great veins or pulmonary artery, including those in, or near, the right atrium, and those inserted via a femoral vein.
 - Includes PICCs, haemodialysis catheters, parenteral nutrition catheters

ESM 1 (Cont): DEFINITIONS**PAEDIATRIC SYSTEMIC INFLAMMATORY RESPONSE SYNDROME:****The presence of at least 2 of the following four criteria, one of which must be abnormal temperature or leukocyte count:**

- Core temperature of >38.5 or <36 degrees Celsius;
- Tachycardia defined as a mean heart rate >2SD above normal for age in the absence of external stimulus, chronotropic drugs or painful stimuli OR for children <1 year old: bradycardia defined as a mean heart rate <10TH percentile for age in the absence of external vagal stimuli, beta blocker drugs or congenital heart disease ;
- Mean respiratory rate >2SD above normal for age or mechanical ventilation for an acute process not related to underlying neuromuscular disease or receipt of general anaesthesia
- Leukocyte count elevated or depressed for age (not secondary to chemotherapy induced leukopenia) or >10% immature neutrophils

AGE SPECIFIC VITAL SIGNS AND LABORATORY VARIABLES (LOWER VALUES FOR HEART RATE, LEUKOCYTE COUNT AND SYSTOLIC BP ARE FOR THE 5TH PERCENTILE, AND UPPER VALUES FOR HEART RATE, RESPIRATION RATE OR LEUKOCYTE COUNT FOR THE 95TH PERCENTILE)

	Heart Rate		Respiratory rate	Leukocyte count	Systolic BP
Age group	Tachycardia	Bradycardia	Breaths/min	Leukocytes x 10 ³ /mm	mm Hg
0 days-1 week	>180	<100	>50	>34	<65
1 week to 1 month	>180	<100	>40	>19.5 or <5	<75
1 month to 1 year	>180	<90	>34	>17.5 or <5	<100
2-5 years	>140	NA	>22	>15.5 or <5	<94
6-12 years	>130	NA	>18	>13.5 or <4.5	<105
13 - <18 years	>110	NA	>14	>11 or <4.5	<117

*Goldstein B et al. International pediatric sepsis consensus conference: Definitions for sepsis and organ dysfunction in pediatrics. Pediatric Critical Care Medicine 2005;6:2-8***CLASSIFICATION OF CVC-BSIs AS PRE-ICU OR ICU-ACQUIRED**

- **Pre-ICU:** diagnosed within 48hrs of ICU admission
- **ICU-acquired:** diagnosed after 48hrs of ICU admission and within 48 hrs of ICU discharge

MEASURES OF EXPOSURE: Recorded at a daily census in each ICU, and summed over one month

- **CVC-patient days:** the number of patients with one or more CVCs at the census time-point, and summed over one month
- **Total CVC days:** the total number of CVCs in use at the census time point, and summed over one month
- **Total patient days:** the number of patients in the ICU at the census time-point, and summed over one month
- **CVC-BSI rate:** the sum of CRBSIS and CABSIs expressed per 1000 CVC-patient days.
- **CVC utilization ratio:** CVC-patient days per 100 patient days